



KFW



**Sustainable Financing Mechanisms for
Marine Protection in the Caribbean**

Advancing the Circular Economy (ACE) Facility

ACE Facility Call for Proposals 1 – Launch Event

August 12, 2024, Dominican Republic





KFW



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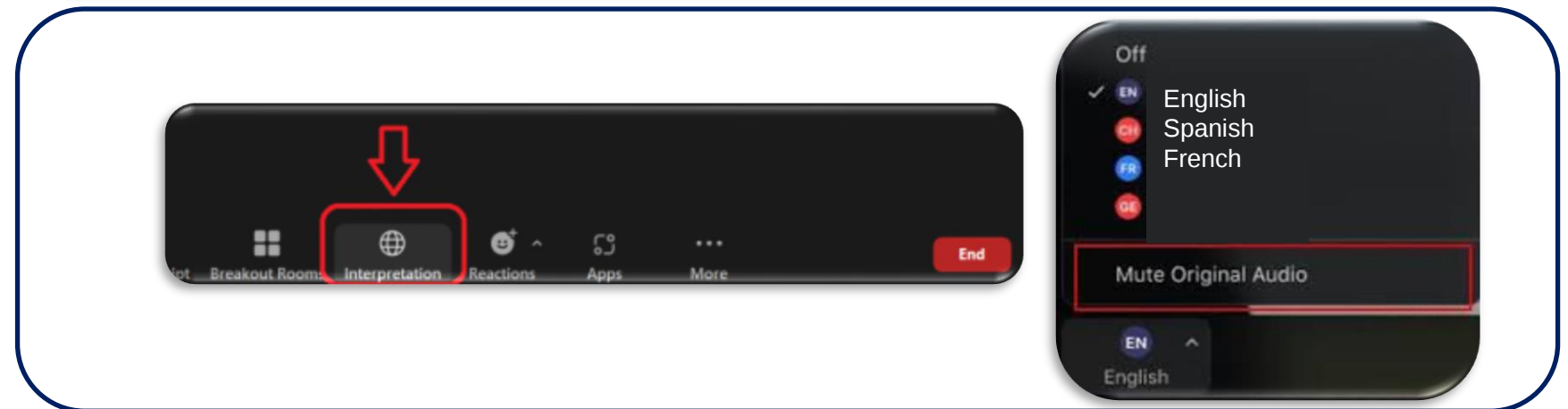
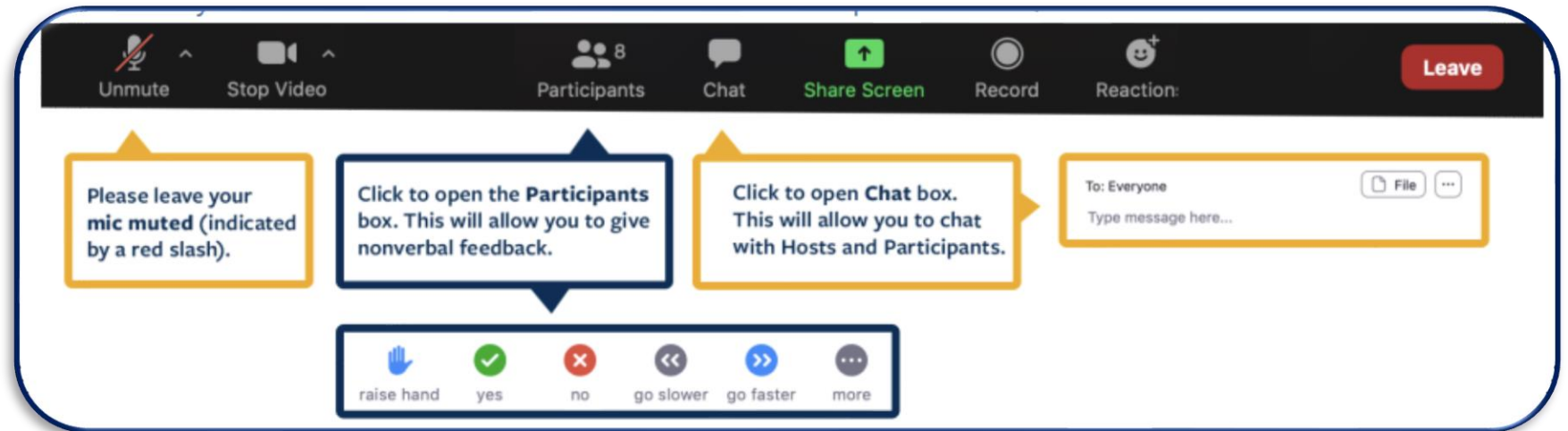


1. Opening

Mr. Derek
E Luk Pat –
ACE Facility
Committee
Chairperson

Opening
Remarks

Overview of
Meeting
Protocols



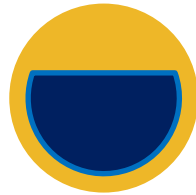
1. Opening (in-person considerations)



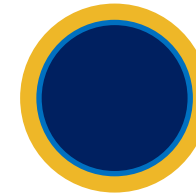
2. Welcome Remarks



CBF Secretariat Mrs.
Karen McDonald Gayle
CBF, Chief Executive
Officer



CBF Board of Directors
Mr. Sixto J Inchaustegui
Director, CBF Board &
Fondo MARENA



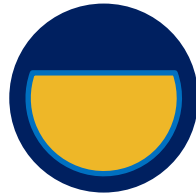
Embassy of Germany in
Santo Domingo,
Dominican Republic
H.E. Ambassador Maike
Friedrichsen,
Ambassador
Extraordinary and
Plenipotentiary

3. Remarks on the ACE Facility



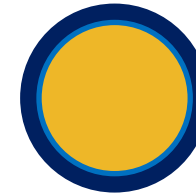
Ministry of Environment
and Natural Resources,
Dominican Republic

Ms. Lidibert González
González – Director of
Sustainable Production
and Consumption



KfW Development Bank,
Latin America & the
Caribbean Division

Mr. Frank Brösamlen –
Senior Portfolio
Manager, Biodiversity &
Natural Resources



German Agency for
International
Cooperation (GIZ)

Mr. Clemens Findeisen –
Team Leader, Agency
for International
Cooperation (GIZ)

 **COFFEE BREAK AND GROUP PHOTO** 





**Sustainable Financing Mechanisms for
Marine Protection in the Caribbean**

Advancing the Circular Economy (ACE) Facility

ACE Facility Call for Proposals 1 – Technical Webinar

August 12, 2024, Dominican Republic



4. Technical Webinar: Opening Remarks



Mr. Derek E Luk
Pat – ACE Facility
Committee
Chairperson





CARIBBEAN
BIODIVERSITY FUND

4. Technical Webinar: Agenda

Introduction to the Technical Webinar

Circular Economy in the Caribbean Context

The ACE Facility Call for Proposals 1 (CfP1)

- Overview of the Nature-Based Economies Program and the ACE Facility
- Eligibility, Overview of the Application Process, The Concept Note Phase and The Full Proposal Phase
- Timeline and Resources

The CBF Grant Application Experience

Question and Answers Session

Next Steps and Closing

5. Circular Economy in the Caribbean



- United Nations Environment Programme (UNEP)

Circular Economy in the Caribbean Context



- German Agency for International Cooperation (GIZ)

Prevention of plastic waste in the seas of Central America and the Caribbean (Circular Caribbean)





Circular Economy

Latin America & the Caribbean

Definition, Importance, Benefits & Regional Co-operation

Hindara Pacheco Bandres & Linda Breukers
Consultants, UNEP
August 12th, 2024

Why move from a linear to a circular model?

Facing the Triple Planetary Crisis

Climate change. The Earth's temperature is now 1.1°C higher than in the pre-industrial era.



Biodiversity loss. One million species of plants and animals are at risk of extinction, largely due to human activities.



Pollution.

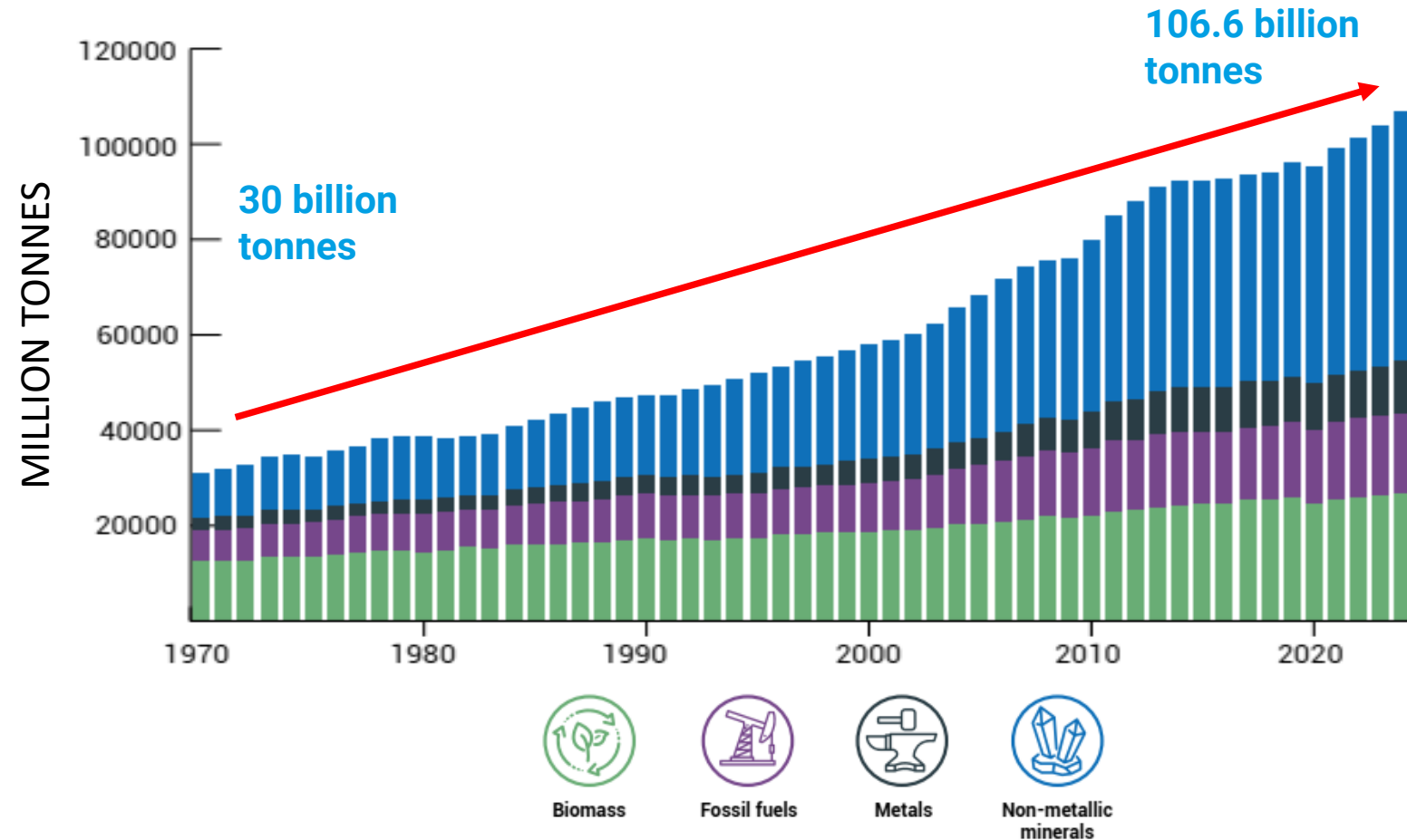
75 to 199 million tonnes of plastic floating in the ocean represent between 60% to 90% of marine waste.



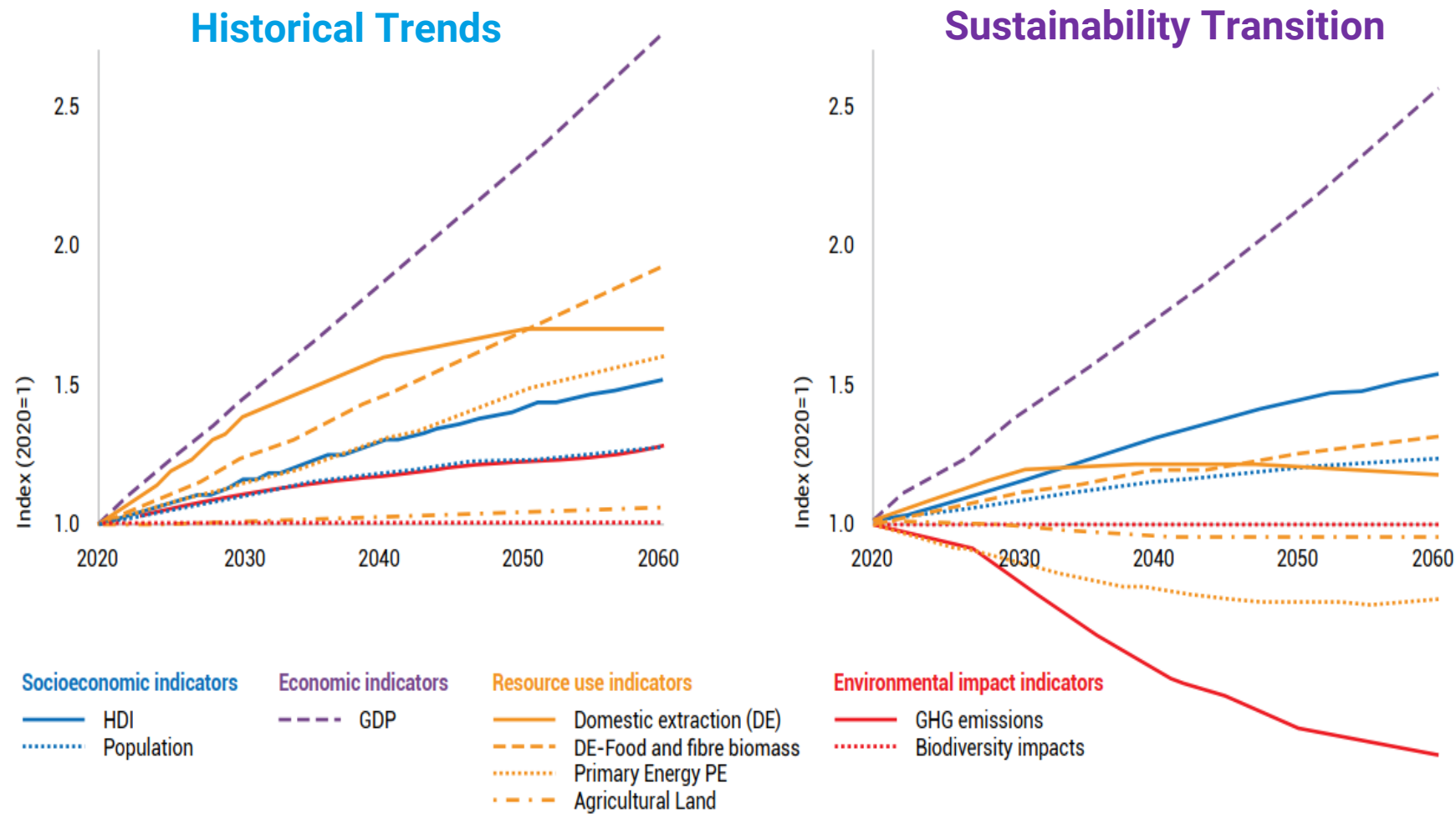
Over the last 50 years, our consumption of materials has more than tripled, with an ongoing annual increase of over 2.3%

Material consumption is expected to increase to meet SDGs for all and to build-up essential infrastructure, among other uses.

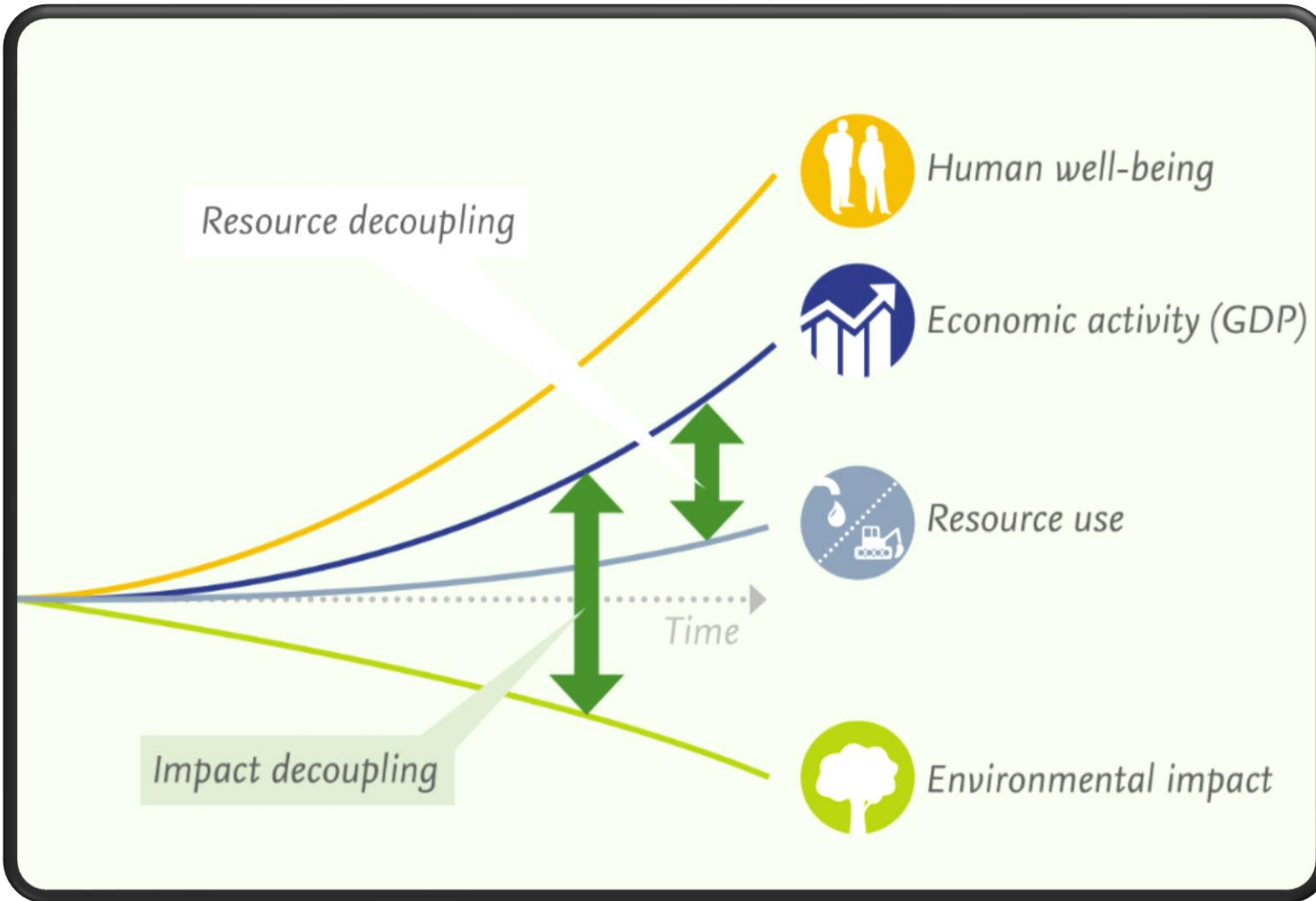
Could increase **60% by 2060** as compared to 2020 levels.



Contrary to historical trends, it is possible to use less resources, grow the economy, improve well-being for all, and cut environmental impact



The Challenge



Decoupling the use of natural resources and environmental impacts from economic activity and human well-being is **essential** in the transition to a sustainable future.

Need to Change: Take-Make-Dispose System

Circular Economy

Circularity and sustainable consumption and production are essential to delivering on every multilateral agreement, from the Sustainable Development Goals, to the Paris Agreement to the post-2020 global biodiversity framework.



Redefines the relationship between economics, society and nature.



Provides a model that decouples economic growth from the depletion of natural resources and ecological overload.



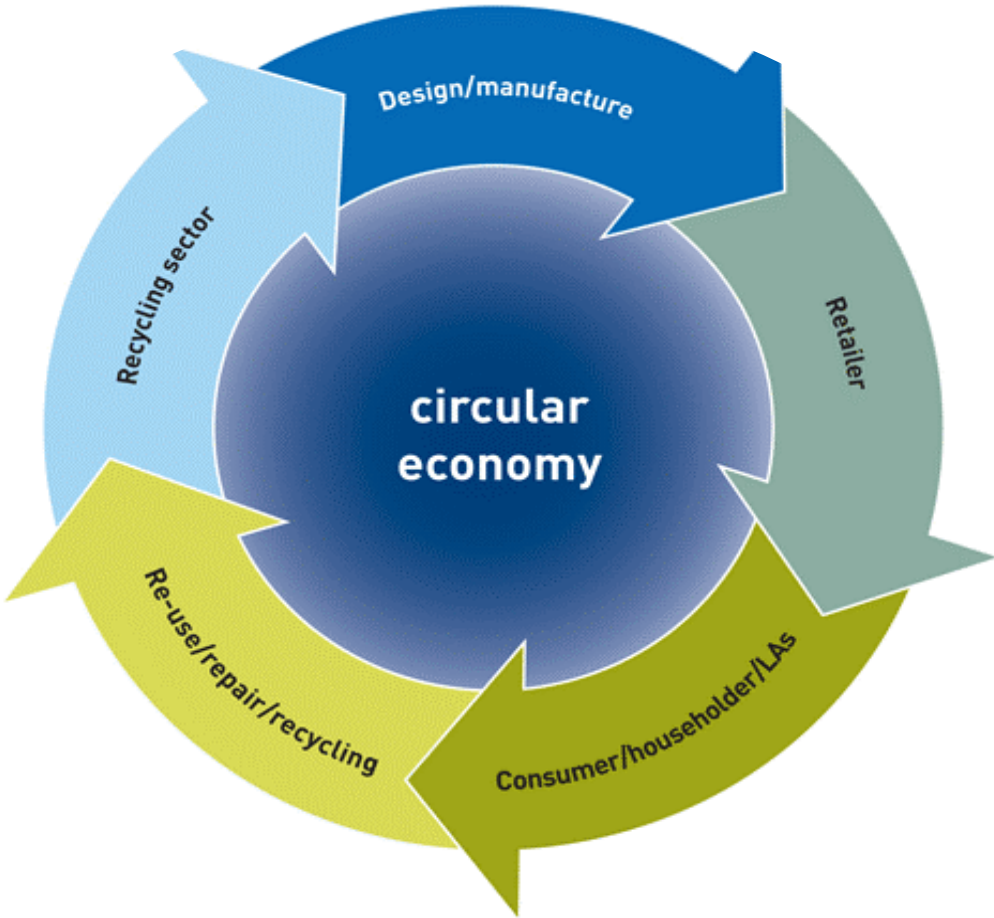
Counteracts the imbalances built up in the linear system.



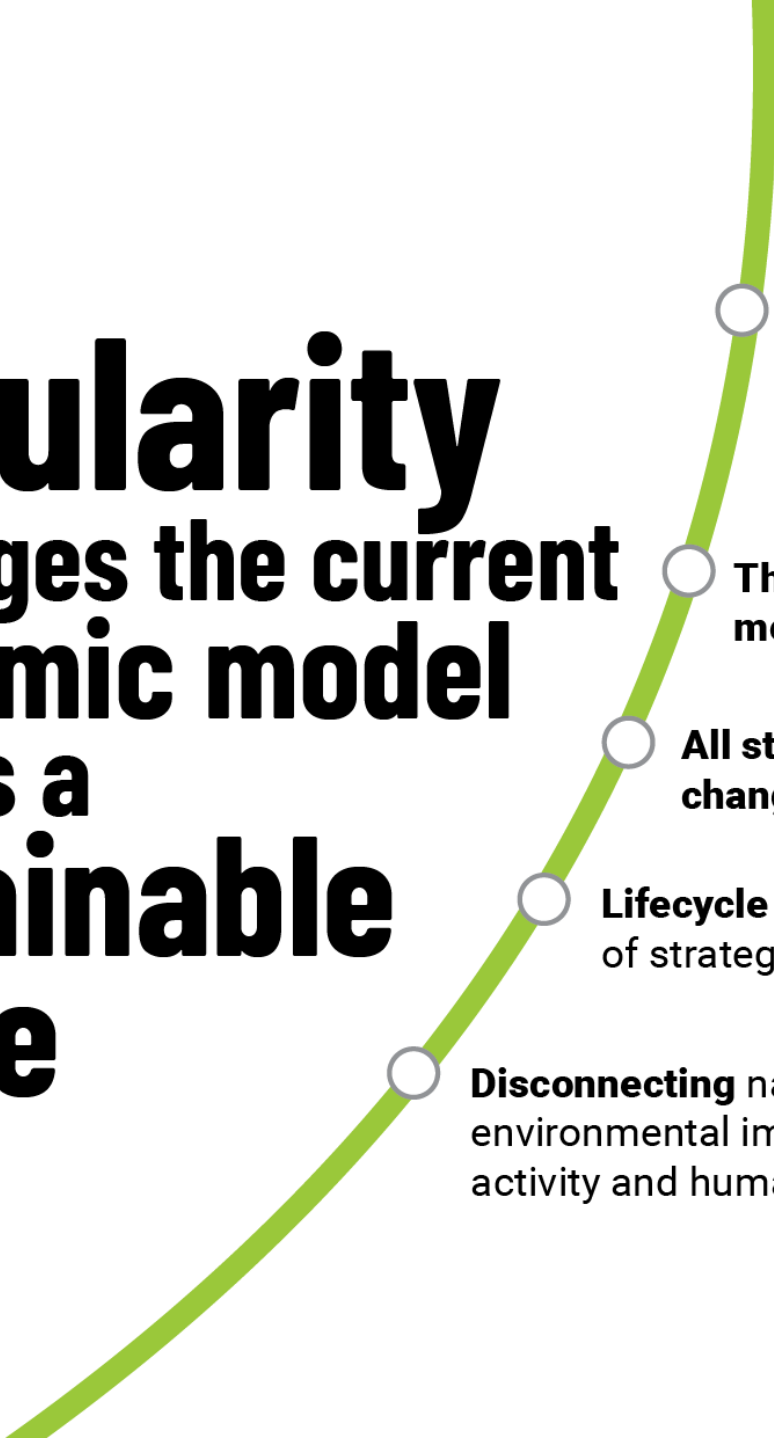
Reconciles economic prosperity with environmental prudence.



It relies on the fundamental assumption that resources are finite and must be managed for future generations.



Circularity challenges the current economic model towards a sustainable future

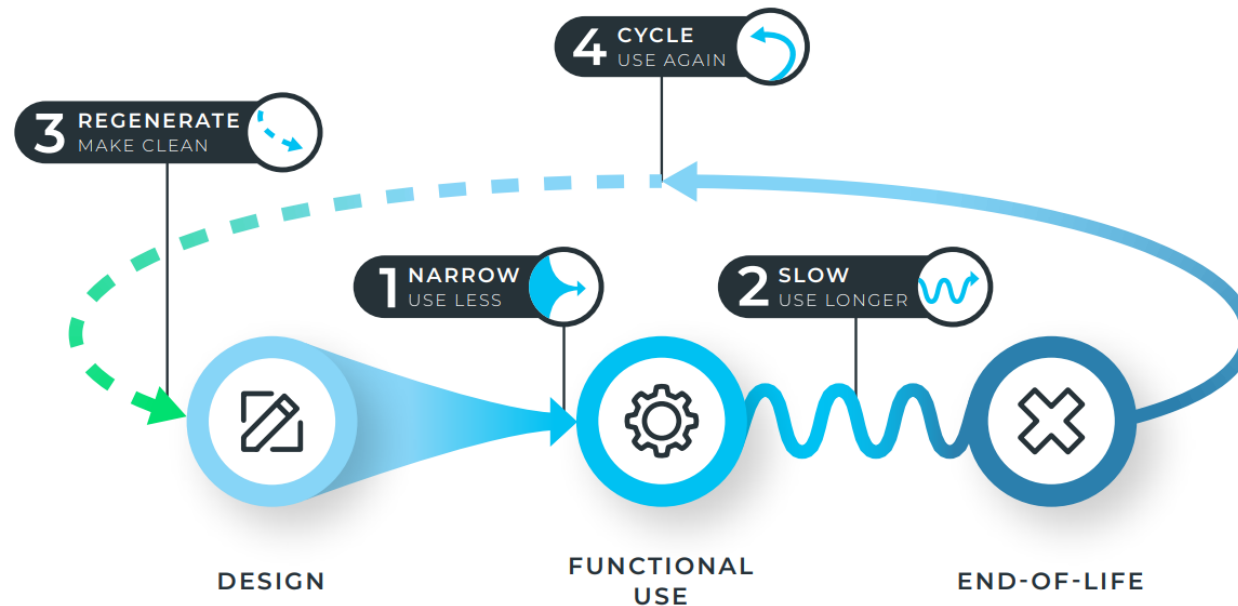
- 
- Inspired by nature based solutions, **circular models keep materials at the highest possible value** along the value chain
 - The entire value chain matters, more than each stage individually
 - All stakeholders are engaged in changing the system
 - Lifecycle thinking** enables the identification of strategic intervention points
 - Disconnecting** natural resource use and environmental impacts from economic activity and human well-being is essential

Principles of the Circular Economy

Maintain products and materials in use at the highest value

Eliminate waste and pollution from design

Regenerate natural systems



Advancing circularity through different resource value options

Shorter loop: where a product remains close to its user and function (client / user choices)

- **Refuse (R0):** Shifting to post-material lifestyles, i.e. rejecting packaging or shopping bags. Refuse the use of hazardous substances in the design of a product.
- **Reduce (R1):** Change in consumer behavior, including using products for longer. Dematerialization as a design principle.
- **Resell – Reuse (R2):** Use 2nd hand products, or product reused after cleaning, without the need for further processing

Medium long loop: where products are upgraded and producers involved again (products improvement)

- **Repair (R3):** Extending the product lifetime, by replacing broken parts, removing defects, ...
- **Refurbish (R4):** Bringing the product up to “state of art”, with newer more advanced components
- **Remanufacture (R5):** Where the full structure of a multi-component product is disassembled, checked, cleaned and when necessary replaced or repaired in an industrial process

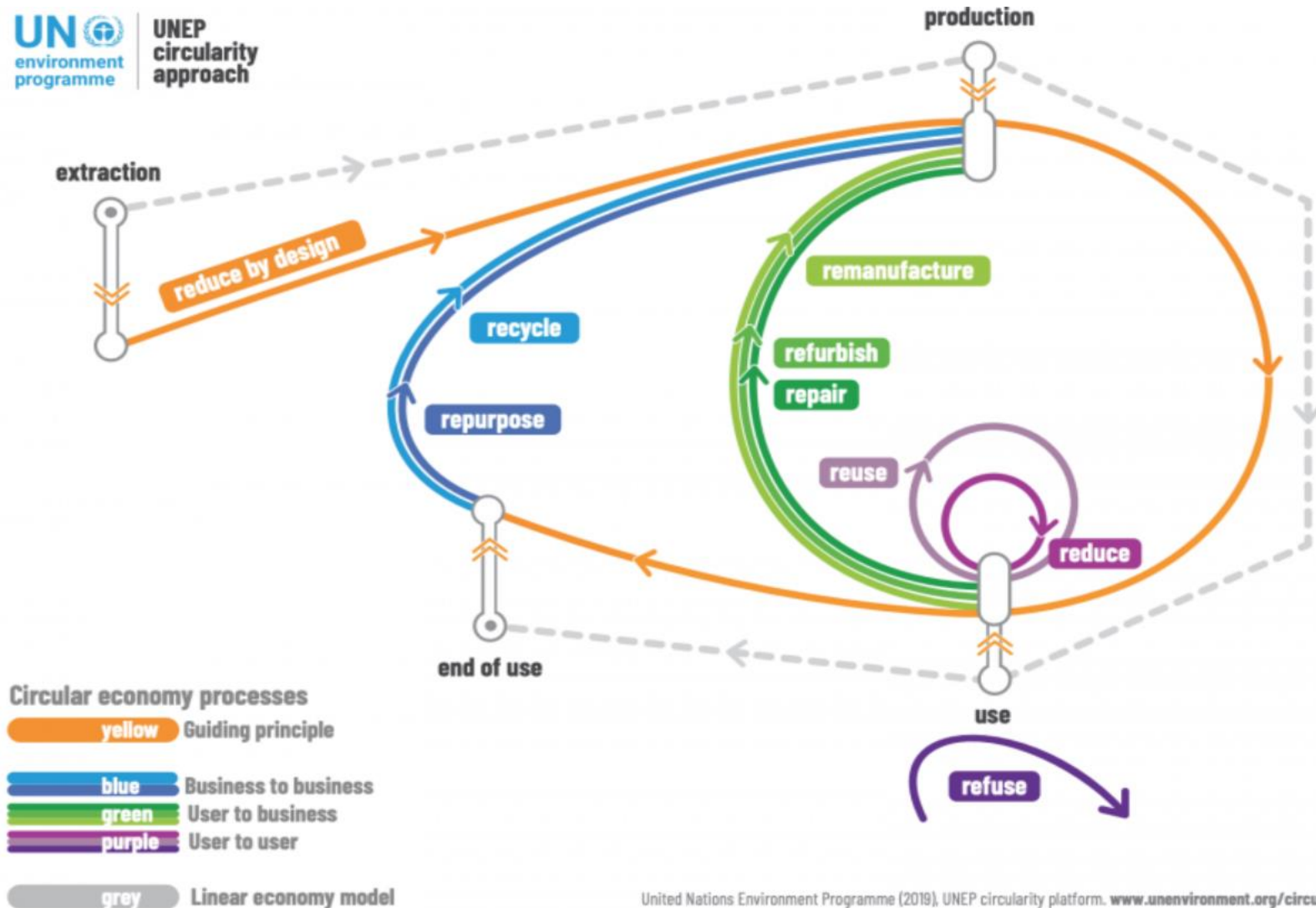
Long loop: where products lose their original function (downcycling)

- **Repurpose (R6):** By reusing discarded goods or components adapted for another function, the material gets a distinct new life cycle (i.e. plastics used in handbags)
- **Recycle materials (R7):** processing of mixed streams of post-consumer products or post-producer waste streams using expensive technological equipment.
- **Recovery of energy (R8):** capturing energy embodied in waste
- **Re-mine (R9):** retrieval of materials after the landfilling phase

System thinking approach / consumer focus

Circularity

The Circularity Diagram, developed by the UN Environment Programme, directs an important attention towards the **different stages of a product lifecycle**.



Circularity requires creativity and cooperation among all value chain actors

Everyone involved should work together:

- businesses, at all stages (raw material production or extraction, brands, retailers, waste management)
- governments
- research institutions
- finance sector
- civil society
- individuals

Behavioral change of all those actors is required to achieve transformation

Create **innovative solutions** throughout the value chain

Chemicals of concern need to be kept away or easy-to-separate from recycled streams

Plan the transition to circular business models, ensuring **nobody is left behind**, especially in the informal sector

Circularity
needs to be
inclusive
to trigger the transition
from a "winners vs.
losers" to a "win-win"
situation not only for
the conservation of the
environment but also the
well-being
of all

Businesses **increase revenues and create new customer value** as resource efficiency benefits multiply across the entire value chain

Government invest in cost-efficient solutions to address the **climate crisis** and the risks posed on **citizens' health**

Jobs are improved, switching to greener, more equitable and sustainable economies

Wildlife and ecosystems are preserved as it requires the extraction of fewer resources and helps to better manage pollutants and wastes

Circular practices need to support more responsible consumption at a global level, and help to **avoid further inequalities** among societies, such as a world divided into consumers of "first-hand" and "second-hand" products

Zero Waste in the Caribbean: New Ways, New Waves



Funded by
the European Union

In Collaboration with:

CARIFORUM

Co-Financed by:



Implemented by:



giz Deutsche Gesellschaft
für Internationale
Zusammenarbeit (GIZ) GmbH



In partnership with:



INTRODUCTION TO THE PROGRAMME

Background



Programme Overview

The 11th European Development Fund is financing this five-year regional programme

Beneficiary

CARIFORUM Member States

Implementing Agencies

Agence Française de Développement (AFD)

Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) GmbH

United Nations Environment Programme (UNEP)

Partnership

Organisation of Eastern Caribbean States (OECS)

Funding



8.70 M

BMZ

EUR 1.20 M

Duration

Start date: 2022

End date: December 2026

Total: 5 years



Contribution to SDGs



INTRODUCTION TO THE PROGRAMME

CARIFORUM Member States



INTRODUCTION TO THE PROGRAMME

Objectives and expected outcomes



Programme objectives

Overall objective

The **overall objective** of this programme is to strengthen the EU-Caribbean partnership for cooperation in the field of circular economy in general and of solid waste management in particular; and improve the resource efficiency of Caribbean economies.

Specific objective

The **specific objective** is to better align solid waste management systems in Caribbean countries with circular economy principles and National Determined Contributions and make them more able to attract investments.

Support to Pilot Countries on Sustainable Financing



Prepare in collaboration with Suriname and Barbados an **overall draft Extended Producer Responsibility (EPR) Framework** which can be used as model for the extended producer responsibility waste streams, such as Packaging, E-waste, Tyres, End-of-Life Vehicles, Batteries etc.

In Trinidad & Tobago, the **Beverage Containers Deposit Return Policy (BCDRP)** was approved in May 2024. The BCDRP sets the framework to develop and administer a DRS for the management of sealed, non-refillable, single-use beverage containers that have a high likelihood of being disposed indiscriminately in the environment. Technical assistance is given to support the process of implementation.

In Saint Lucia & Trinidad & Tobago multiple **Needs Assessment sessions** took place to prepare a proposal for the **Readiness Programme**, the largest climate change capacity-building programme in the world.



Regional Meeting on Sustainable Financing for Waste Management and Circular Economy



Objective: support the CARIFORUM countries with the **establishment of enabling frameworks and instruments to facilitate investment and sustainable financing of waste management.**

Topics:

- Financial instruments for sustainable Waste Management & Circular Economy: A global perspective.
- Status of current financial mechanisms in the CARIFORUM countries and recommendations for the region.
- Lessons learned and challenges in the region for the implementation of financial instruments
- Financing Options for the Private Sector

Bilateral meetings between the financing partners and the country representatives will take place to discuss **collaboration on waste management** projects, legislation, collection, final disposal & recycling infrastructure, feasibility studies, etc.

A special **round table** will be held on formulating a **regional approach for problematic waste streams** such as tyres and fridges.

Regional Meeting for Sustainable Financing of Waste Management and Circular Economy



Event information:

Date: **3 – 6 September 2024**

(3-day Meeting Event, 1 day Field Visit)

Country: **Barbados**

Location: **Caribbean Development Bank (CDB) Conference Facility / Hotel Venue**

For more information, please contact

Linda Breukers:

E: linda.breukers@un.org

T. +57 304 354 4536.

SAVE THE DATE
3-6 SEPTEMBER 2024



ZERO WASTE IN THE CARIBBEAN:
NEW WAYS, NEW WAVES

Regional Meeting
Financing
Circular Economy
Solutions
for Waste
Management

Bridgetown, Barbados



Funded by
the European Union

In collaboration with:

CARIFORUM

Co-Financed by:



Implemented by:



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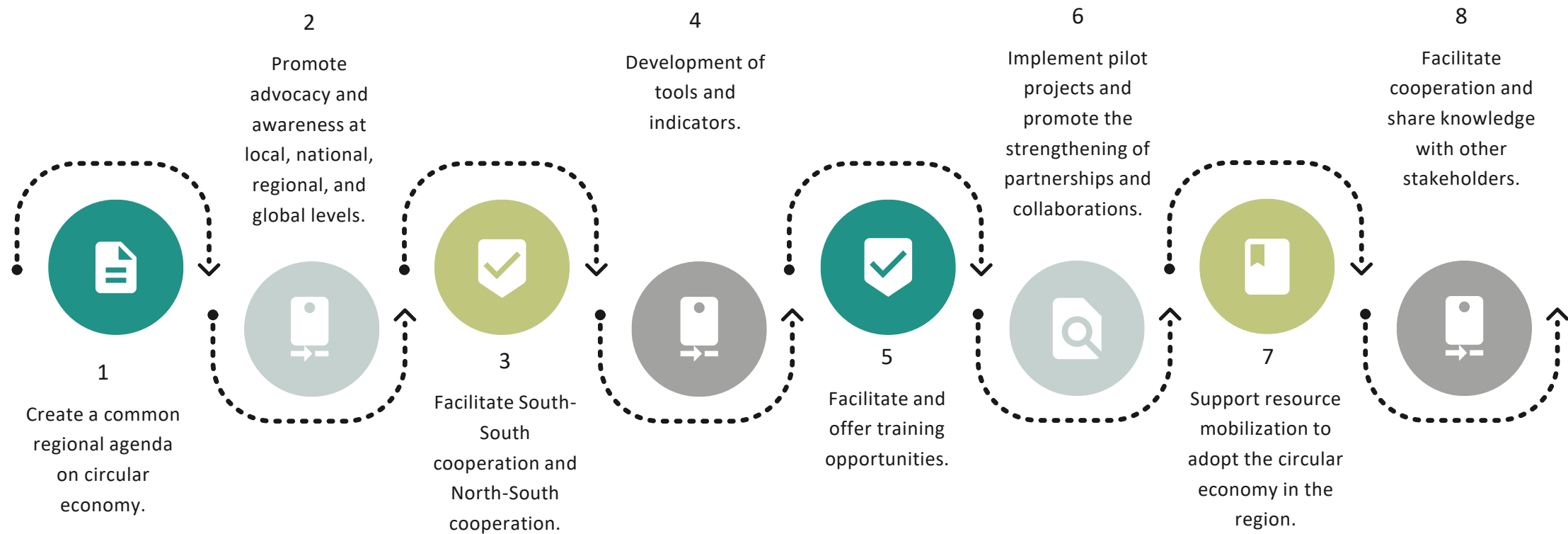
In partnership with:



Circular Economy Coalition for Latin America and the Caribbean

Mission and Objectives

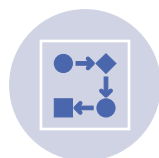
The Circular Economy Coalition for LAC provides a regional platform to enhance inter-ministerial, **multisectoral**, and **multi-stakeholder cooperation** to increase knowledge and understanding of the circular economy, facilitate training, capacity building, and technical assistance for the development of public policies on circular economy and sustainable consumption and production.



Some Benefits of the Circular Economy



Circularity Gap Report



Identify how materials flow through the economy and provide an overview of how circular LAC is, according to circularity metrics.



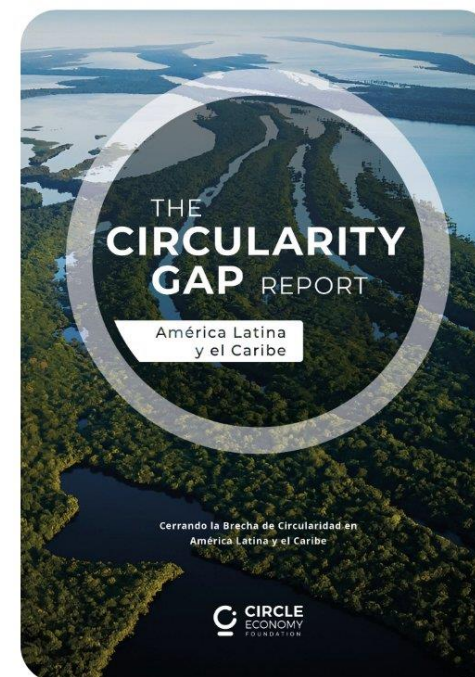
Identify the main existing data gaps.



Highlight possible interventions within significant value chains and sectors.



Promote a call to action and the exchange of information and best practices.



Scan the code to download the full report

Available in English and Spanish



www.circularity-gap.world/lac

Steering Committee



BRAZIL



COLOMBIA



COSTA RICA
Vice Presidency



**DOMINICAN
REPUBLIC**
Vice Presidency



PERU
Presidency



ECUADOR

Strategic Partners



Learn more about UNEP
approach to and
experience in circular
economy by visiting
the **UNEP circularity**
platform
<https://www.unep.org/circularity>



**CIRCULAR
ECONOMY
COALITION**

Latin America & the Caribbean



www.coalicioneeconomiciacircular.org



@CircularLAC

Thank you!



**ZERO WASTE IN THE CARIBBEAN:
NEW WAYS, NEW WAVES**



PROYECTO REGIONAL
Prevención de residuos plásticos
en los mares de Centroamérica y el Caribe



Implemented by



In coordination with



MARCO

DEL PROYECTO y LÍNEAS DE ACCIÓN



Una iniciativa que busca promover la economía circular y prevenir que los residuos plásticos lleguen al mar Caribe.

Caribe Circular (2020 – 2024)
es financiado por la **Unión Europea** (EU) y
el **Ministerio Federal de Cooperación Económica y Desarrollo de Alemania** (BMZ) e
implementado por la Cooperación Alemana para el Desarrollo (GIZ);
en coordinación con la Comisión Centroamericana de Ambiente y Desarrollo (CCAD) y
el Gobierno del Estado de Quintana Roo a través de la Secretaría de Ecología y Medio
Ambiente (SEMA)



QUINTANA ROO - MEXICO

BELICE

HONDURAS

REPÚBLICA DOMINICANA

GUATEMALA

EL SALVADOR

NICARAGUA

PANAMÁ

COSTA RICA

Países región SICA

Otros países SICA

Países de enfoque

Sede contraparte política



RESULTADOS DESTACADOS



Promover alianzas regionales



- 12 eventos de intercambio y aprendizaje organizados por el Comité Técnico regional
- 8 hojas de ruta para la armonización de legislación (1 regional y 7 nacionales)
- 52 hoteles implementan medidas de prevención

Cooperar con el sector privado en innovación



- 2 herramientas digitales para empresas
- 2 modelos de negocios desarrollados y 8 en desarrollo en la región
- 2 actividades para promover la cadena de valor e inversiones en Centroamérica

Implementar proyectos piloto



- Más de 12,000 actores involucrados
- 4 talleres de transformación de plásticos
- 55 puntos de colección/separación de plásticos
- 110 estaciones de agua potable
- 4 simulaciones de flujo de materiales y residuos

Sensibilizar y educar



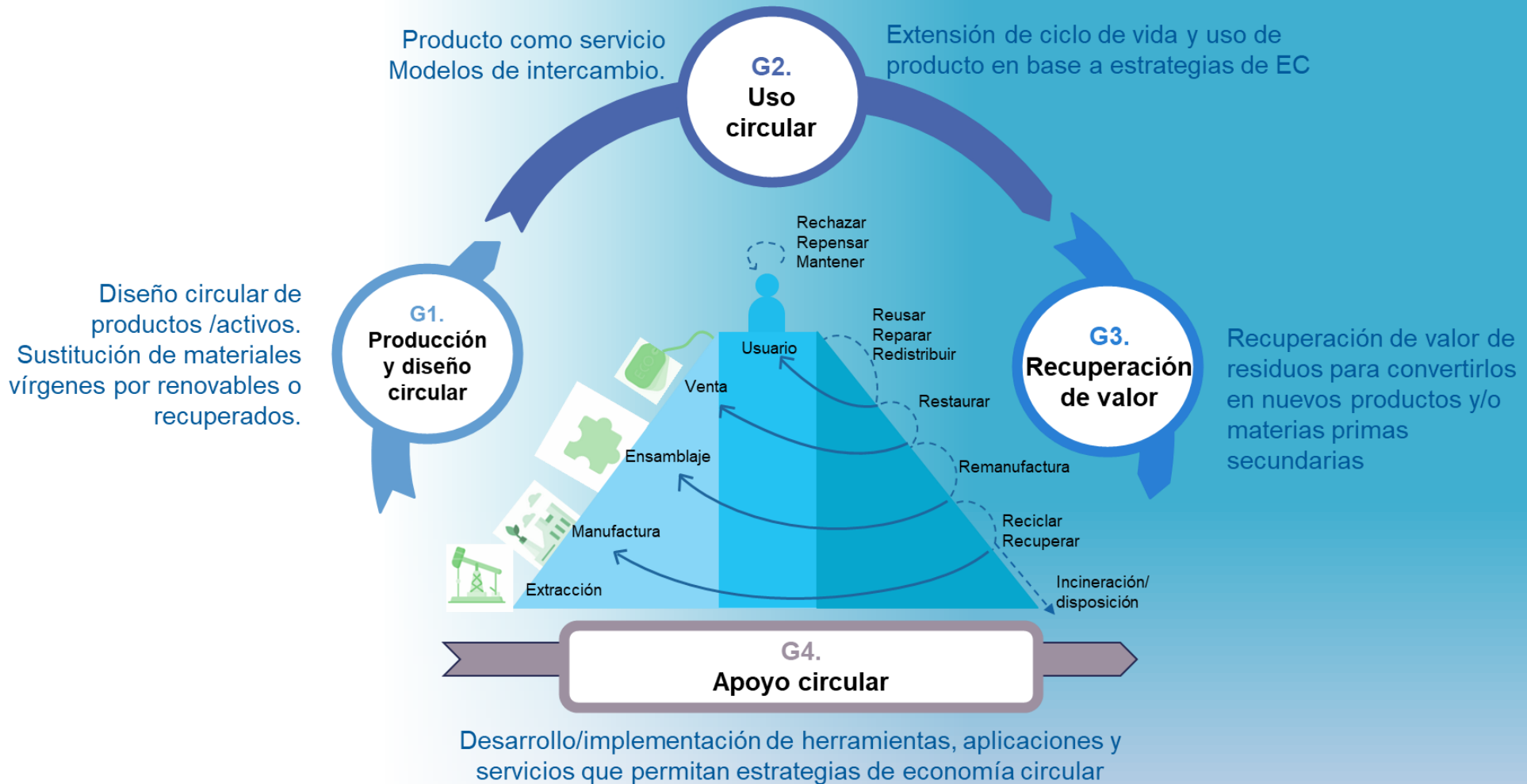
- 14 campañas de sensibilización
- Más de 503,000 vistas en redes sociales
- 22 limpiezas de playa
- 3 cursos de capacitación en economía circular
- 2 juegos educativos para jóvenes y niños



Economía Circular



Las categorías de modelos de negocios circulares



Diseño Circular



Oportunidades y desafíos en el desarrollo de plásticos biobasados, biodegradables y compostables en la República Dominicana



Investigación y desarrollo



Desarrollo de prototipos



Análisis de sostenibilidad



Transferencia de tecnología



Educación y divulgación

Unidad de Desarrollo de Bioplásticos y Biomateriales



- Estudio de **materias primas renovables** para la creación de bioplásticos y biomateriales e investigación de nuevos compuestos y procesos.
- Creación de **muestras iniciales** para probar y analizar la viabilidad de los nuevos materiales y pruebas de laboratorio para evaluar propiedades.
- Evaluación del **impacto ambiental** de los materiales, incluyendo la huella de carbono y la degradación de los productos.
- **Colaboración con empresas** y otras entidades.
- Iniciativa complementaria con AIMPLAS: **Análisis sobre oportunidades y desafíos** en el desarrollo de plásticos biobasados, biodegradables y compostables



Uso Circular



Vytal

¡HOLA ERREDE!

El sistema de envases reutilizables ya está en República Dominicana

TU COMIDA VA, PERO EL EMPAQUE VUELVE

EL FUTURO ESTÁ AQUÍ, VIVE LA NUEVA FORMA SOSTENIBLE DE PEDIR COMIDA

¿LISTO PARA SER PARTE DE ESTE CAMBIO?

giz

LOS VYTAL NO SE DERRAMAN

Tus deliveries ahora sí llegarán como los entregas

Vytal

¿CÓMO SER UN ALIADO VYTAL?

Contractamos y se parte del cambio para un mundo que no es desechable

¿QUÉ ES VYTAL?

Es el sistema de envases reutilizable perfecto para tu negocio de catering a domicilio o restaurante

¿POR QUÉ USARLOS?

01
Reduces tu impacto ambiental

02
No generas basura

03
Reduces gastos en desechables

Sistema de Envases Reutilizables

VYTAL



vytal_rd

- Sistemas de reuso funcionan para diferentes negocios como p.ej. restaurantes, hoteles, supermercados o comedores de empresas
- Caribe Circular esta apoyando el pilotaje de la aplicación del sistema Vytal en ciudades en Colombia, México y Republica Dominicana
- Aplicando estos sistemas se liberan hasta 7 veces menos gases de efecto invernadero por ración de comida/bebida, en comparación con el uso de plástico de un solo uso.*

*Fuente: Informe "Assessing Climate Impact: Reusable Systems vs. Single-use Takeaway Packaging" (Eunomia, 2023)

Recuperación de Valor



Nueva Vida para los Residuos NUVI



- Intercambio Técnico relacionado a la organización de **Sistemas Integradas de Gestión** (SIG)
- Mesas de Trabajo de Responsabilidad Extendida del Productor (REP)
- “**Poncha el Plástico**” en colaboración con LIDOM para concientización, separación y reciclaje de más de 135.000 botellas PET en dos temporadas (2022-2024)
- Sensibilización y recolección de botellas plásticas en escuelas



Alianzas locales para la Recuperación de Valor

- Alianzas **público-privadas** en 8 municipios asociados.
- Sensibilización y recogida de residuos plásticos en más de 20 centros educativos, organización de grupos de trabajo medioambientales.
- Capacitación en gestión articulada de residuos y regulación de residuos plásticos a nivel municipal
- Establecer **centros de acopio** de residuos plásticos ("Estaciones Circulares") y conectar con **rutas piloto de recogida** para su reciclaje.
- Introducir tecnología y modelos piloto de **"Precious Plastic"**



Apoyo Circular



Guía Menos Plástico

- La herramienta busca ayudar a reducir los residuos plásticos de un solo uso a hoteles, restaurantes e empresas turísticas
- Sistematización detallada de cantidades de plástico generadas y gastos relacionados.
- Resulta en un plan de acción concreto y definición de metas específicas de reducción, sustitución o eliminación
- En RD aplicación en 18 empresas



Alternativas Circulares

- Herramienta simple de acceso libre
- Ofrece panorama interactivo de alternativas sostenibles para 10 de los productos de plástico más comunes en hoteles y restaurantes
- Herramienta "Alternativas Circulares" para el sector de la hostelería y gastronomía | atingi

Página web www.sica.int/caribecircular

Descripción del proyecto [DE](#) | [EN](#) | [ES](#)

ESCANÉAME



para conocer más
sobre el proyecto.



SÍGUENOS

@caribecircular



Muchas gracias!

Eva Ringhof, Directora de Proyecto, eva.ringhof@giz.de
Peter Sommer, Coordinador RD, peter.sommer@giz.de

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für Internationale
Zusammenarbeit (GIZ) GmbH





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Global Action Partnership for EPR

Advancing EPR jointly – this is our mission. As the Global Action Partnership for EPR, we connect practitioners and experts worldwide, foster collaboration, and innovate EPR implementation and research.



[Global Action Partnership for EPR - Home \(prevent-waste.net\)](http://prevent-waste.net)



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Resources EPR Toolbox

Extended Producer Responsibility (EPR) is a key concept for 'closing the loop' in the packaging value chain. The EPR Toolbox is a collection of internationally relevant knowledge on the topic of EPR for packaging. Its aim is to promote knowledge exchange and enhance development of EPR systems worldwide. The EPR Toolbox contains detailed training materials on EPR, practical country examples and a set of FAQs.


[Download interactive PDF](#)

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[Factsheets](#)
[Video Library](#)

[EPR Toolbox - Prevent Waste Alliance \(prevent-waste.net\)](http://prevent-waste.net)



Circular Solutions Finder

Proven Approaches to Address Key Circular Economy Challenges

Published by





[Circular Solutions Finder \(giz.de\)](http://giz.de)



Financing Circular Economy – Insights for Practitioners

[Financing Circular Economy – Insights for Practitioners \(giz.de\)](http://giz.de)



[circular-alternatives: Tool "Circular Alternatives" \(EN\) \(click here\) | atingi](#)

6. The Advancing the Circular Economy (ACE) Facility



Overview of the Nature-Based Economies Program and the ACE Facility
- Ms. Rachel Ramsey – Program Manager



Overview of the Application Process: The Concept Note Phase and The Full Proposal Phase
- Ms. Tracy Phillips – Technical Officer



Timeline and Resources
- Mr. Leonides Sosa – Program Officer



6. The Advancing the Circular Economy (ACE) Facility



Overview of the Nature-Based Economies Program and the ACE Facility

The Caribbean Biodiversity Fund provides sustainable financing for projects within the Caribbean region to protect the lands and waters on which all life depends.

MISSION



To ensure continuous funding for conservation and sustainable development in the Caribbean.

VISION



A Caribbean region where both its natural environment and people thrive.

CORE VALUES



Conservation Finance

The CBF provides financial resources through partner National Conservation Trust Funds (NCTFs) to manage and protect biodiversity in 11 countries currently.



Climate Change

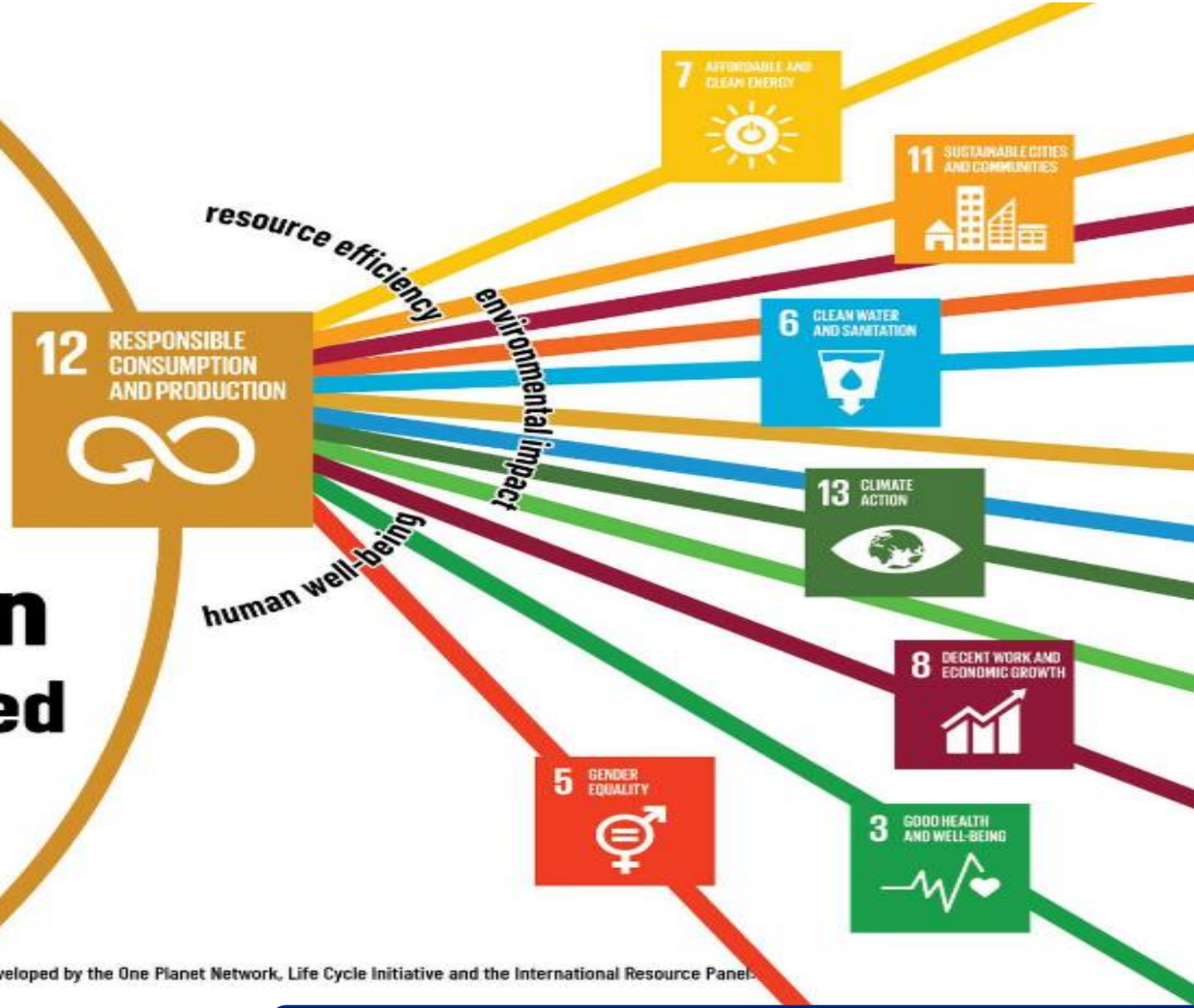
The Climate Change Program, through its Ecosystem-based Adaptation (EbA) Facility awards grants directly to local, national, and regional projects through competitive calls for proposals that support effective climate change adaptation measures in nine Caribbean countries.



Nature-Based Economies

The Nature-based Economies Program, through the Advancing the Circular Economy (ACE) Facility, will work with Caribbean stakeholders to fund projects that promote and apply practical circular economy principles to minimize, prevent, or remove waste entering the marine environment in nine beneficiary countries.

Circularity is a way to achieve sustainable consumption and production and other interlinked SDG goals



Based on the One Planet Network Indicators of Success and the SCP impact indicators as developed by the One Planet Network, Life Cycle Initiative and the International Resource Panel.

WHY THE CIRCULAR ECONOMY



Priority scope (waste stream and sectors) of the ACE Facility:



Integrated Solid Waste Management Systems.



Littering/open Dumpsite management.



Agricultural plastics.



Synthetic Textiles.



Personal Care and Cosmetics Industry (Plastic Packaging).



Transport Sector (solid waste components).



Coastal/Offshore Tourism and Recreation.



Medical Waste Articles



Abandoned, lost, or other discarded fishing gear (ALDFG).



Marine Transport Industry.



Disaster Debris Management.

THE ACE FACILITY – CfPs1: Who Can Apply



Cuba



Dominica



Dominica
Republic



Grenada



Haiti



Jamaica



Montserrat



St. Lucia



St. Vincent & the
Grenadines

Applications that include **Cuba** will only be accepted from international institutions that have experience in implementing projects and other activities in compliance with US Government sanctions and regulations concerning Cuba.

Organizations from outside the Caribbean applying for funding should have one or more local partners.
Consortia can apply.





Grants USD 400,000 to
USD 2,000,000 per
project

Value of the Grants



The implementation
time for projects
promoted by the Facility
will be between 2.5 to 3
years.

Project Duration



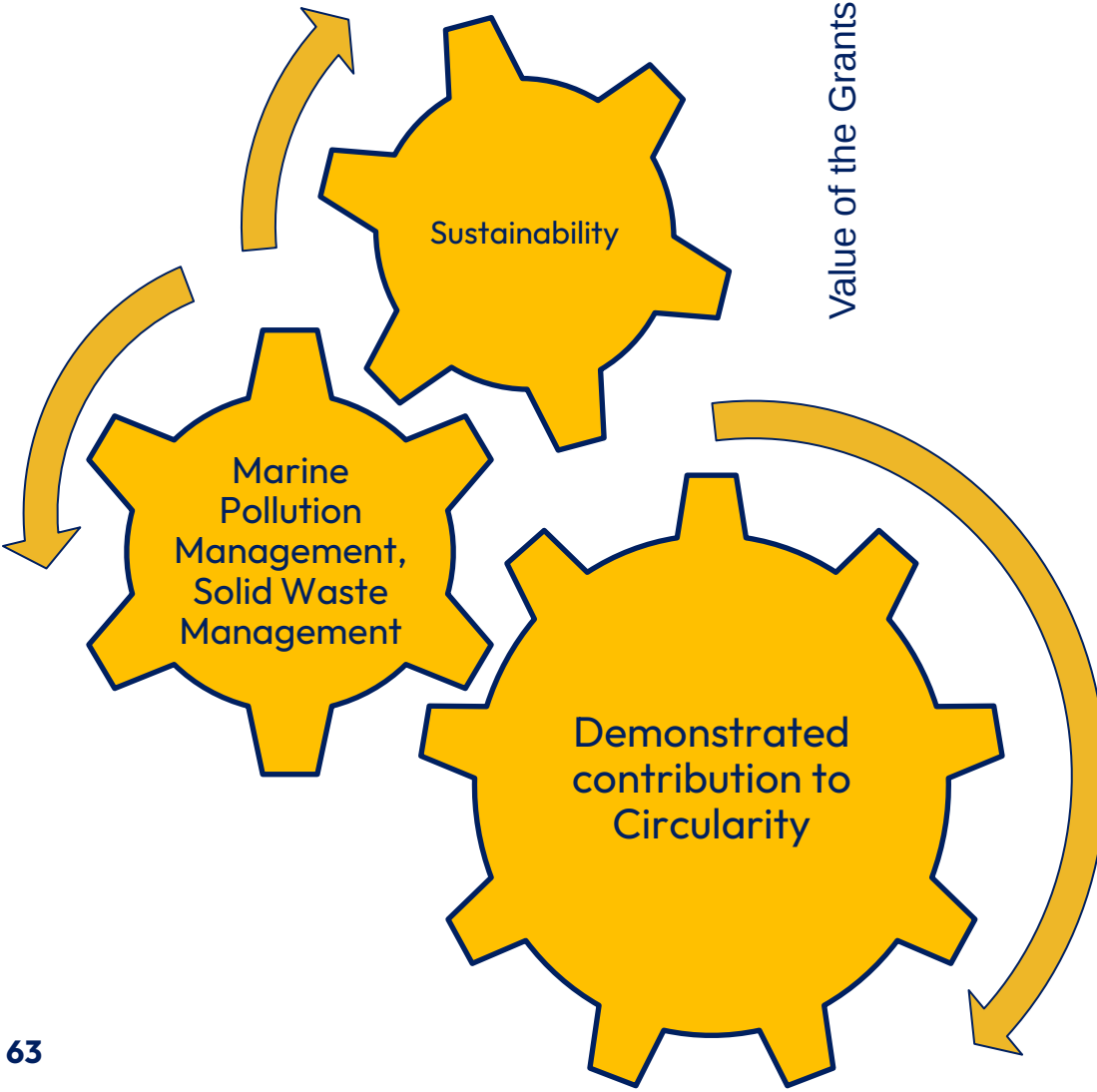
Non-profit
organizations (CBOs,
academia, local and
regional NGOs) - co-
financing should be
identified to the amount
of 25% co-financing (in-
kind and cash inclusive)

Government
Institutions; Statutory
Bodies or Quasi-
government Institutions
- 25% co-financing (cash
only)

Regional Organisations
- 50% co-financing (25%
cash and 25% in-kind)

For-profit private SMEs,
NGOs, CBOs - 50% co-
financing (25% cash and
25% in-kind)

Co-financing Requirements



THE ACE FACILITY – CfPs1: Requirements

THE ACE FACILITY – CfPs1: Eligible Projects



Projects that promote and apply practical circular economy principles to minimize and/or prevent waste from entering the marine environment.



Projects that promote and apply practical circular economy principles to remove marine litter and consider processes after waste removal for proper disposal of waste, like recycling, reuse, refurbishing, etc.

These should be complemented by activities that:



- ☐ support knowledge and data generation on the topics of the circular economy and marine pollution in the Caribbean region,
- ☐ promote the sustainable approach to ACE Facility projects ensuring they operate financially independently by the end of the project, and
- ☐ formulate new and/or strengthen existing partnerships that promote the circular economy in the Caribbean.

Improving the design and/or production of products

Manufacturing, import, and distribution Interventions

Demonstrate the design of environmentally friendly products

Improving integrated waste management systems/practices

Increase the use of recycled content in products

Stimulate innovation in recycling of waste



THE ACE FACILITY – CfPs1: Eligible Projects (Prevention of Marine Litter)

Design and testing
of innovative devices and solutions for the
monitoring, removal and recovery of
marine litter

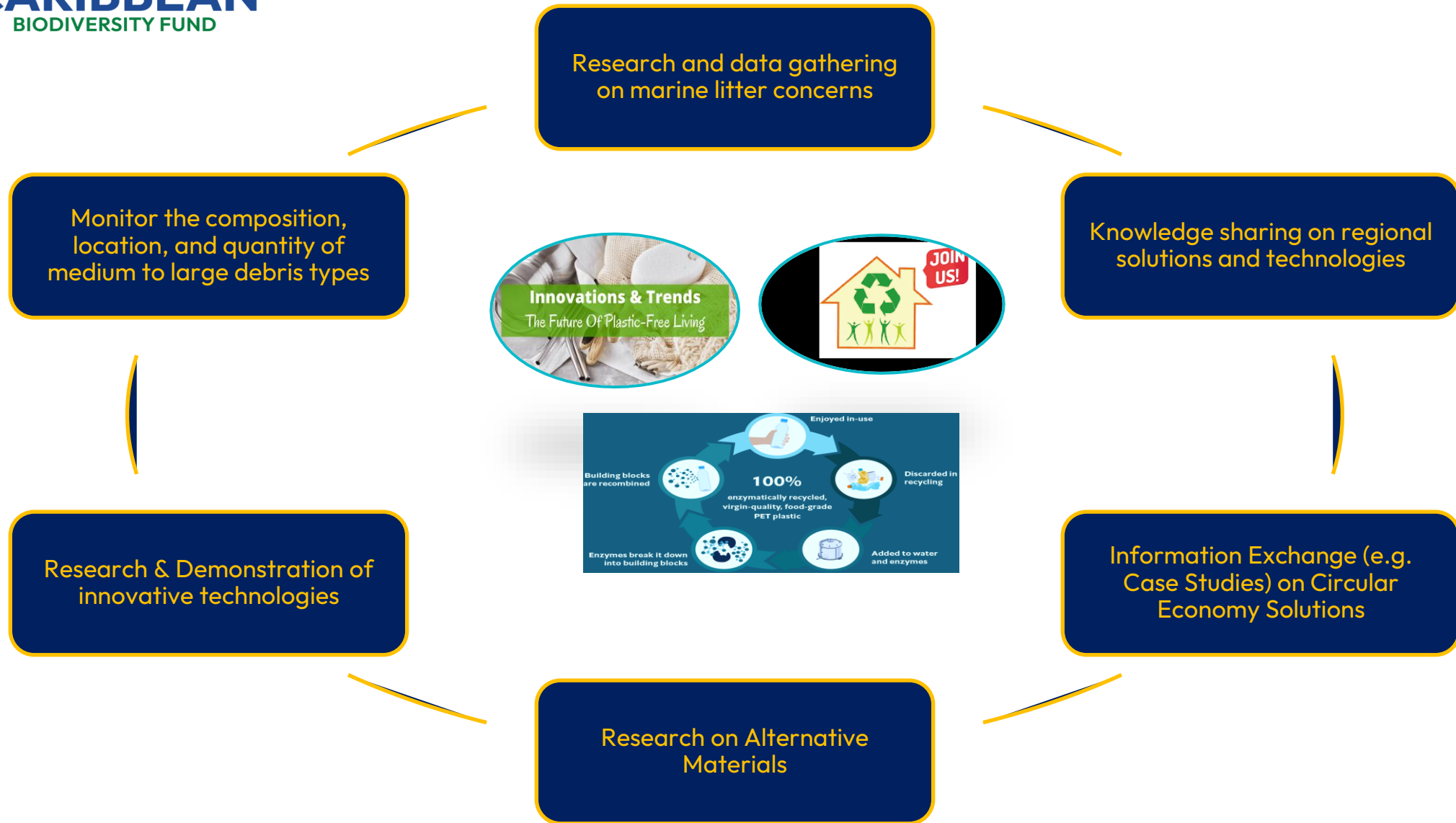
EPR for large marine debris

Design and implement innovative interventions
at coastal/nearshore areas that prevent
discharge into the marine environment

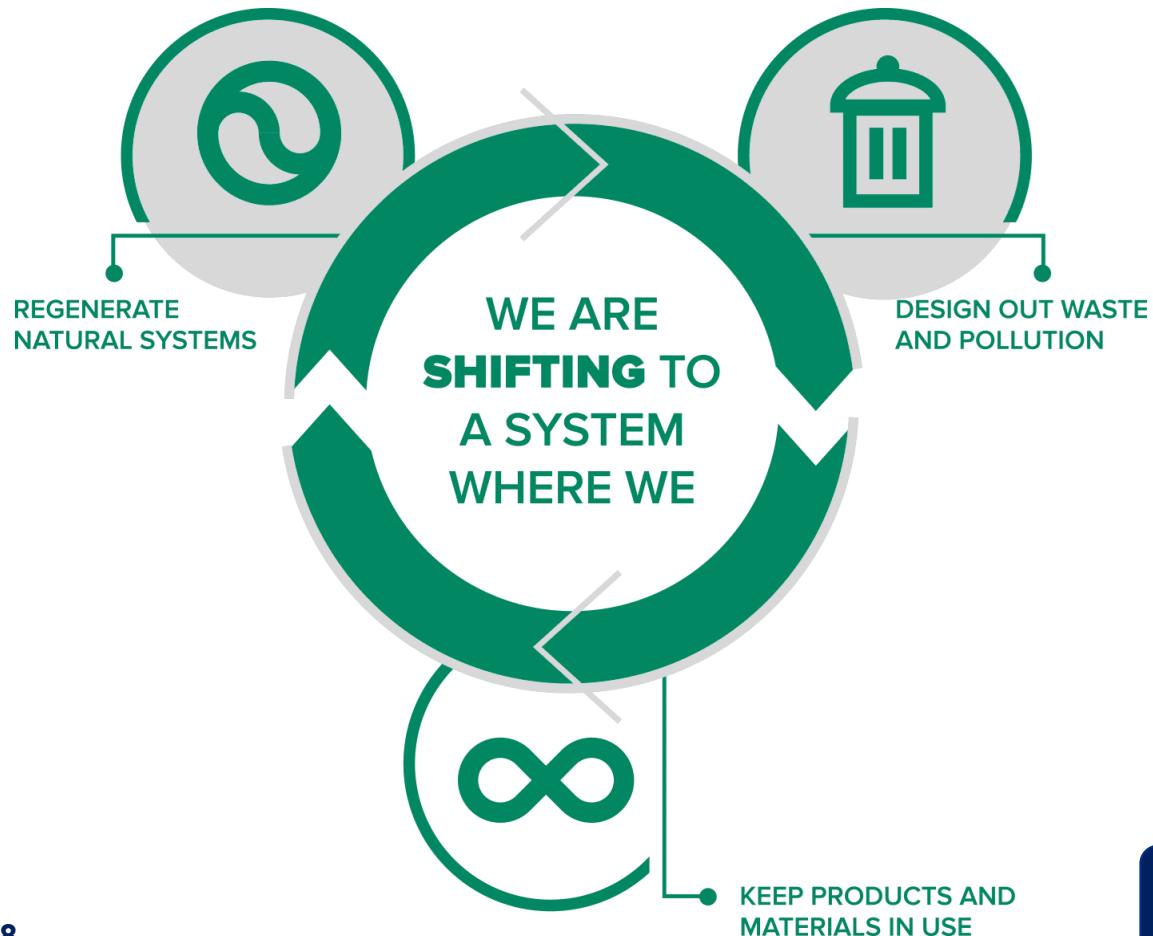


Design and
test innovative post-disaster waste
recovery technology

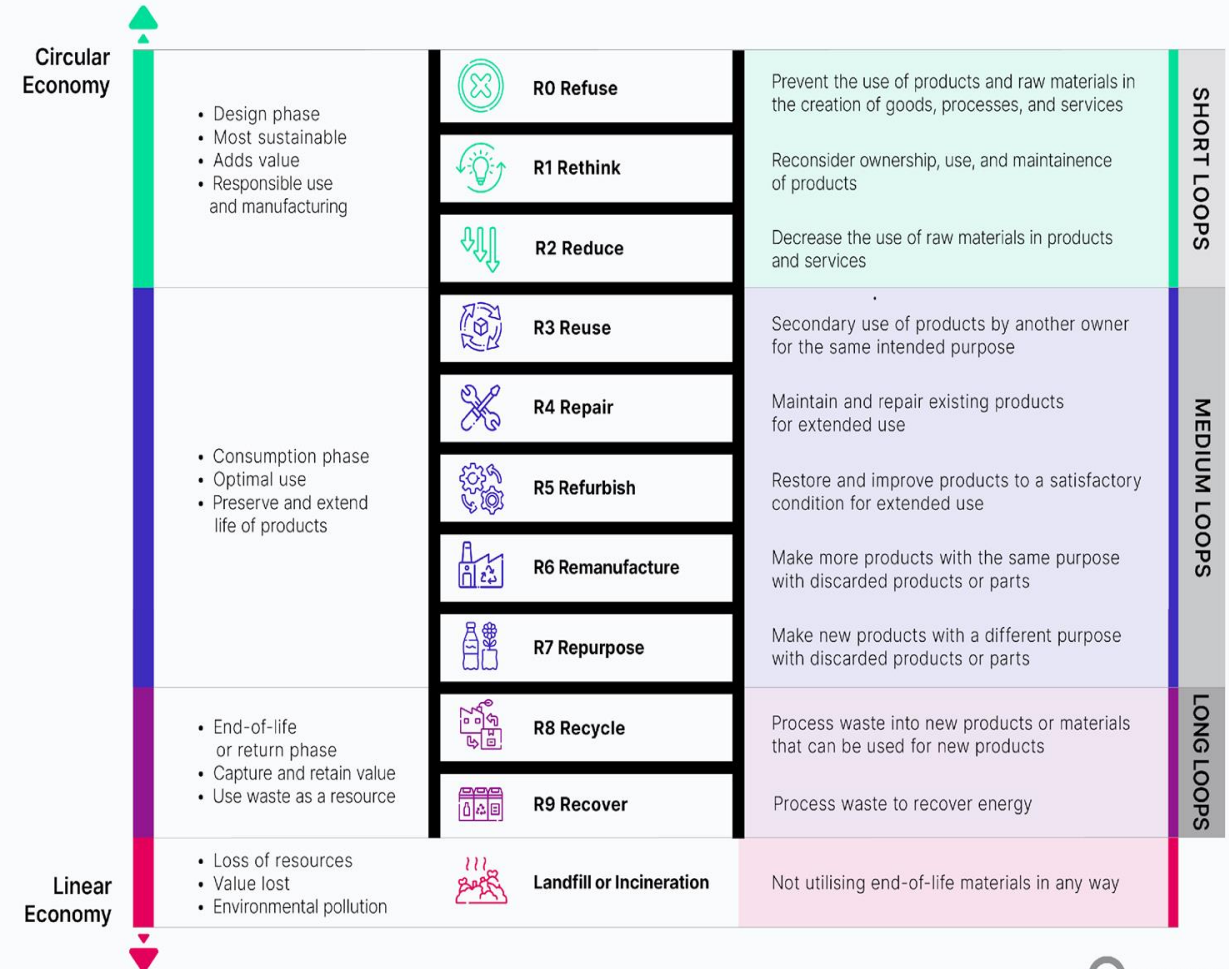
THE ACE FACILITY – CfPs1: Eligible Projects (Removal of Marine Litter)



THE ACE FACILITY – CfPs1: Eligible Projects (Knowledge & Data)



10 R Strategies for Circular Economy



Projects that will not be supported

Downcycling Instead of Upcycling

Short Product Lifespans

Greenwashing

Exporting Waste to Developing Countries

Energy-Intensive Recycling Processes

Single-Use Products Marketed as Circular

Toxic Material Recirculation

Inefficient Logistics and Transportation

6. The Advancing the Circular Economy (ACE) Facility



Overview of the Application Process: The Concept Note Phase and The Full Proposal Phase

THE ACE FACILITY – CfPs1: The Application Process



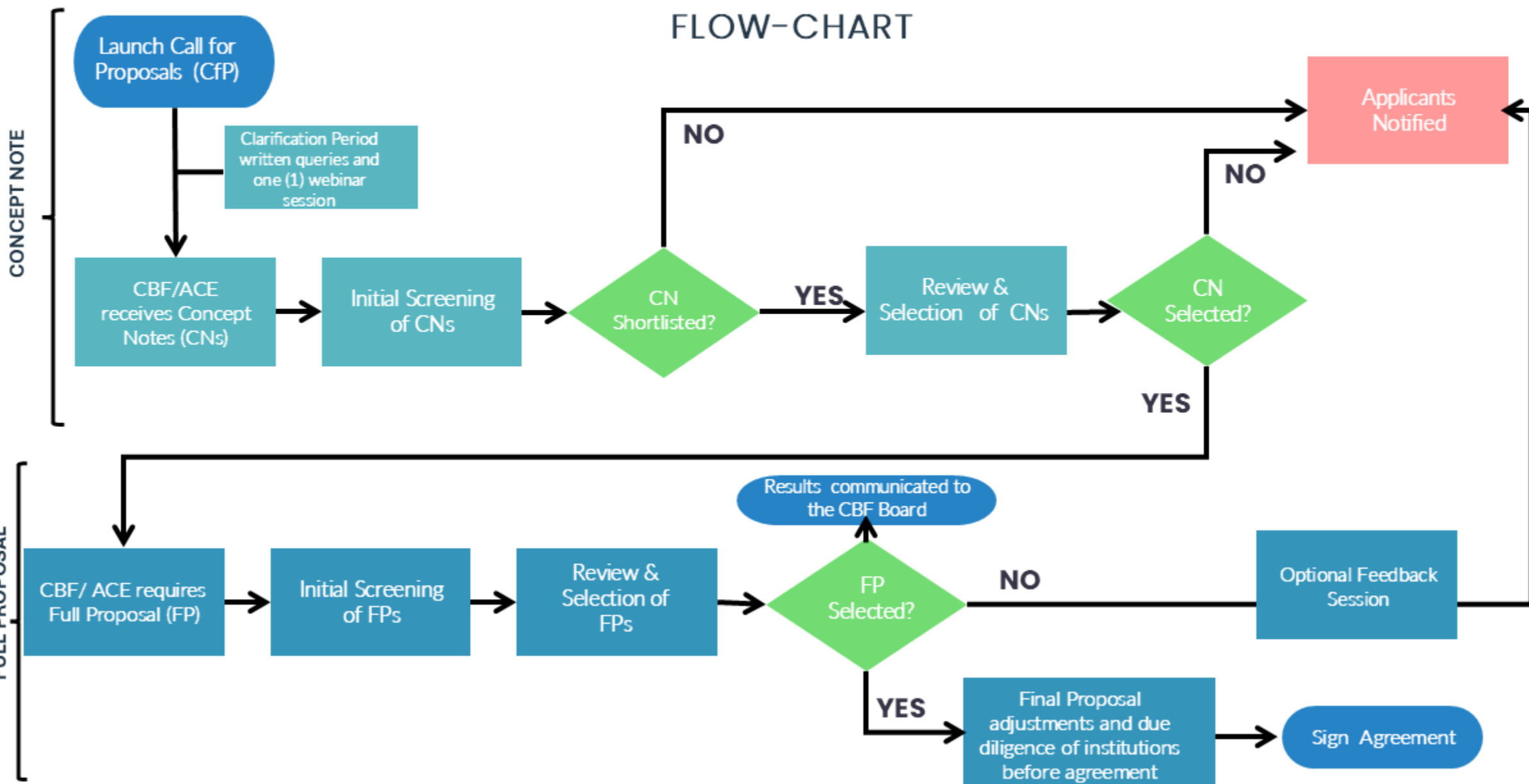
How to Apply:

A two-step application process will be followed:

- Applicants will be required to submit Concept Notes
- Applicants with selected Concept Notes will be required to submit Full Proposals.

ACE FACILITY APPLICATION PROCESS

FLOW-CHART



This document provides applicants with a standardise template to submit their Concept Notes.



Co-financed by:



Caribbean Biodiversity Fund (CBF): Advancing Circular Economy (ACE) Facility
Call for Proposals (CfPs) 1

Concept Note Application Template

This document provides applicants with a standardise template to submit their Concept Notes.

ACE Facility: Call for Proposals (CfPs) 1
Concept Note Application Template

The Concept Note (CN) is the first step in the application process. If Concept Notes are shortlisted, applicants will be formally notified and asked to prepare a full project proposal for further evaluation. Please complete ALL sections of this form and attach all of the required documents. Kindly follow the guidance and the strategy of the ACE Facility described in the call for proposals and consider the Evaluation Criteria provided during the design of the project and completion of this concept note.

The provision of additional supporting documents, such as maps or letters of community support will be accepted as attached files with the submission of this CN.

Should you require additional information or clarification, contact the CBF's ACE Facility team (as per the contact information provided under this call for proposals). The clarification period related the completion of the CN template extends to 11:59pm (AST) September 20, 2024.

Please ensure that this Concept Note does not exceed twenty (20) No word limit is specified but Times New Roman font size 12, full justification and 1.5 spacing must be used throughout the submission. Document margins of 1 inch must be maintained. You can delete all instruction/guidance text that are in italics. Applications may be submitted in English, French, or Spanish.

The following documents are required to be submitted as attachments with the Concept Note:

1. A signed declaration by the applicant organization on an appropriate letterhead, including the following:
"I, the undersigned being the person responsible in the Applicant's organization for the proposed Project, do hereby certify that the information given is true and correct at the time of submission.", with signature, name, position, place, date.
2. Certificate of registration of the applicant organization.
3. Audited financial statements prepared and signed by an independent, external auditor from two recent fiscal years (most recent available). In lieu of updated approved audited financial

PART A: Applicant Organization¹

Applicant Name:	Organisation	Legal
Short Name/Acronym:		
Organisation Type:		National Conservation Trust Funds (NCTFs)
Mark with an 'X' one option only.		National non-government organisations (NGOs)
		Community-based organisations (CBOs)
		Government agencies
		Regional/ international organisations
		Private sector (SME)
		Universities and research institutions
		Other (specify here):
Year Organisation Established:		
Registration Number:		
Duly Registered Under:		
Please cite relevant laws or statutes		
Purpose/ Mission:		
Governance Structure:		Board of Directors; Executive Committee; Other please specify
Total Permanent Staff:		
Organisation Address:		
Website Address:		
Contact Person Name:		
Contact Person Position:		
Contact Person Email:		
Telephone (with country code):		

¹ If your project will be implemented by a consortium of organisations, there must be one leading organisation that will be the applicant and sign the contract with CBF.

Please list below relevant² past projects that your organisation has managed (limited to the last 5 years):

Project Title	Main theme	Start (mm/YY)	End (mm/YY)	Main donors	Project budget (in USD)	Project Country

The table below is to be completed ONLY by consortia of organizations³. Please list below the organizations that compose the consortium that will implement this project and the main roles each of them will have in the project:

A project will be implemented by a consortium of organizations when the applicant organization signs sub-grant contracts with other organizations that will be responsible for specific roles during the project implementation. You can delete this table if this is not your case.

Organisation location)	Name (and	Main Functions

² For projects that include activities in Cuba, project proponents must be international institutions that have experience in implementing projects and other activities in compliance with US Government sanctions and regulations concerning Cuba. Please demonstrate capacity here.

³ Organisations from outside the Caribbean applying for funding need to have technical expertise, as well as one or more local partners. Please confirm local project partners here.



The Concept Note template consists of 4 parts:



Part A: Applicant Organisation(s) Profile

Who are you?



Part B: The Project Description

What are you proposing to do?



Part C: Environmental and Social Safeguards

How will your project affect the environment and/or social norms and customs? Positively or Negatively?



Part D: Project Estimated Budget

How much will it cost?



Part E: Additional Due Diligence

Do you have the capacity to administer the funds received?

Part A: Applicant Organization

- ☐ Applicant Organization Legal Name
- ☐ Governance Structure: Organization type and Year Established
- ☐ Total Permanent Staff
- ☐ Registration Number
- ☐ Address and Contact Information
- ☐ Duly registered under which law
- ☐ Relevant past projects managed (limit to the last 5 years)
- ☐ Purpose/ Mission: If applicable, list organizations that compose the consortium and their roles

Part B: Project Description

- ☐ Project Title
- ☐ Geographic Area
- ☐ Problem
- ☐ National, Regional, International Priorities
- ☐ Project Approach

- ☐ **Project Intervention Logic:** Goal and Objectives, Expected Outcomes and Outputs, Activities, Demonstration of Investments
- ☐ **Relevance to ACE Objectives:** How the project contributes to ACE Objectives and Which indicator of ACE will the project answer
- ☐ **Implementation Details:** Key Stakeholders and Partners, Project Duration and Exit strategy and sustainability



Part C: Environmental & Social Safeguards

☐☐

- ☐ 1. Is there a risk that your project could negatively impact natural habitats?
- ☐ 2. Will the project produce or use hazardous or non-hazardous waste?
- ☐ 3. Is there a risk that your project could include involuntary resettlement of local populations and access restrictions to natural resources?
- ☐ 4. Will the project cause workers' rights to be violated?
- ☐ 5. Is there a risk that your project could negatively impact Indigenous Peoples or any vulnerable groups?
- ☐ 6. Is there a risk of adversely impacting gender balance among the population at the project site?

- ☐ 7. Are there geographical risks associated with your preliminary project design (including force majeure considerations)?
- ☐ 8. Are there political or regulatory risks associated with your preliminary project design?
- ☐ 9. Are there financial or cost overrun risks associated with your preliminary project design?
- ☐ 10. Are there technical or operational risks associated with your preliminary project design?
- ☐ 11. Potential management measures for the risks and impacts identified.
- ☐ 12. Is the Project or any of its activities in the CBF's Exclusion List?

Part D: Project Estimated Budget

- ☐
 What is the total amount being requested from the ACE Facility (in USD)?
- ☐
 Are there any other funders that will provide in-cash contributions to the project?
- ☐
 Will there be any in-kind contributions from your organization or from other supporters or partners?

Main Budget Items	ACE Facility (USD)	Other funders (USD)
Personnel (staff and consultants)		
Administration costs (overheads)		
Equipment		
Events/ Workshops Meetings		
Communications		
Travel		
TOTAL		

Part E: Additional Due Diligence☐☐

- ☐ 1. What accounting software system does the organisation use?
- ☐ 2. Does the organisation's accounting system separately record and track income and expenditure for each individual project, grant, or contract?
- ☐ 3. Does the organisation have written policies for the following: Accounting; Procurement; Code of conduct, ethics, bribery & corruption?
- ☐ 4. Is the organisation currently fully compliant and up-to-date with all tax, registration, and social security obligations?

- ☐ 5. Does the organisation have any debt relating to: bank loans, bank overdrafts, or other debt that may impact your ability to sustain operations and continue work on ongoing project?
- ☐ 6. Please confirm which insurance policies and the level of coverage the organisation has related to Third party liability, Infrastructure, Vehicles, or Other Insurance.
- ☐ 7. Please confirm which insurance policies and the level of coverage the organisation has related to Third party liability, Infrastructure, Vehicles or Other Insurance.
- ☐ 8. Briefly describe your organisation's system for filing and keeping supporting documentation.

The following documents are also required to be submitted as attachments with the Concept Note:

A signed declaration by the applicant organization on an organisation's letterhead

Certificate of registration of the applicant organization

Audited financial statements prepared and signed by an independent, external auditor from two recent fiscal years (most recent available). In lieu of updated approved audited financial statements and/or where separate audited financial statements are not produced or available, Government Ministries can provide draft audited financial statements, management accounts and/or parent ministry financial audits.

In the case, of consortia, all members must submit a signed declaration on appropriate letterhead

THE ACE FACILITY – CfPs1: Concept Note Phase(Criteria)

 A clear and coherent logic of intervention to reduce and or remove marine litter through Circular Economy Interventions. Proposals with processes after waste removal will be of particular interest to the ACE Facility Committee	 Clear definition of Circular Economy principles that it will achieve through the project interventions.	 Long-term sustainability and financial feasibility beyond the grant funding period, at least 3 years after this period.
 Define benefits for vulnerable groups (e.g. traditional peoples, waste workers, women, and youth) or focuses on gender and youth perspectives.	 Continuation, replication, or upscaling of an already tested approach (in the region/other SIDS/ developing countries) that was successful or clearly shows synergies with other activities in the region.	 Capacity building for the Public Sector, Private Sector, NGOs, and CBOs in the region, which will contribute to sustainability in the long term.
 Support of the requisite stakeholders or partners for its implementation to be successful.	 Supports national policies and strategies & Fosters national and/or regional partnerships.	 Connects on-the-ground activities with higher-level, regional activities (e.g. policy action, business practice changes, sustainable finance mechanisms) or international initiatives to foster structural and sustainable change.
 A coherent estimated budget in relation to the project activities.	 Leverages other financial and technical resources.	 A project timeline that realistically aligns with the ACE Facility requirements.

This document provides applicants with a standardise template to submit their Full Proposal applications.

Detailed Webinar to be conducted >>>

The Full Proposal Template consists of 5 Sections

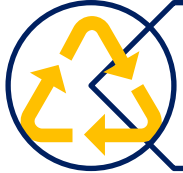
Section 1: The Project Summary

Section 2: Institutional Arrangements/Applicant Organisation(s) Profile

Section 3: Project Description

Section 4: Monitoring, Evaluation & Learning (MEL) Plan

Section 5: Project Budget



Technical Feasibility of the project design



Cost-effectiveness of the proposed project



Institutional Arrangements and capacity of the proposed project applicant



Monitoring, Evaluation, and Learning (MEL) considerations including a coherent logical framework with SMART indicators



Environmental and Social Management System (ESMS) and the risk management considerations given to the proposed project

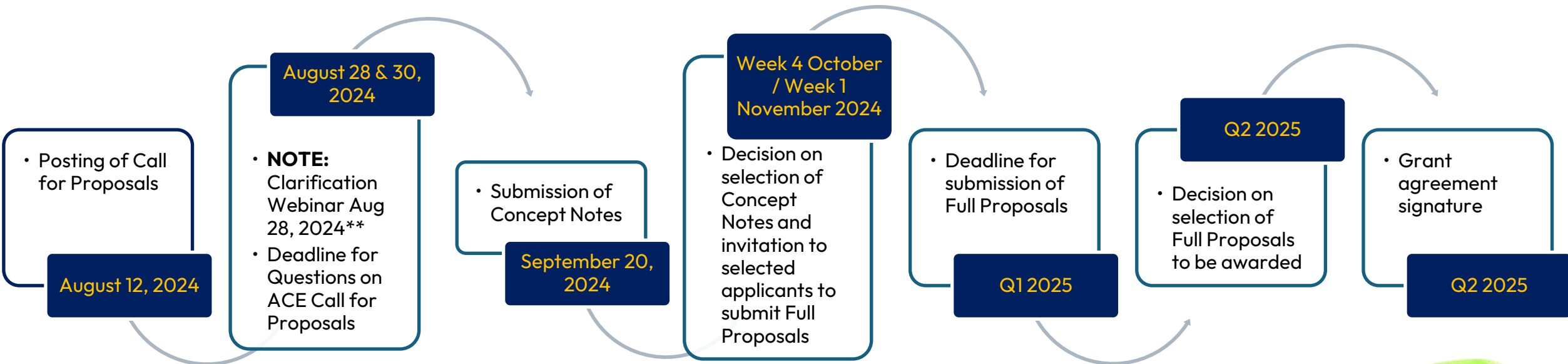
Report Considerations

- Annual Work Plan & Budget
- Biannual Progress & Financial Reports
- Annual Audited Financial Statements
- Final Narrative Report & Final Financial Report
- Close-out Report
- TruBudget – software used by CBF to manage the financial aspects of grants throughout their lifecycle, from the preparation stage to the disbursement of funds

6. The Advancing the Circular Economy (ACE) Facility



Timeline and Resources





CALL FOR PROPOSAL

Advancing Circular Economy (ACE) Facility's First Call for Proposals

[← GO BACK](#)

SHARE



The Caribbean Biodiversity Fund (CBF), through its Advancing Circular Economy (ACE) Facility, is seeking applications for projects that promote and apply practical circular economy principles to minimize and/or prevent waste from entering the marine environment or projects that promote and apply practical circular economy principles to remove marine litter and consider processes after waste removal for proper disposal of waste, such as recycling, reuse, refurbish, etc.

CALL FOR PROPOSALS

Supported Projects

Advancing Circular Economy (ACE) Facility

Ecosystem-based Adaptation (EbA) Facility

The Caribbean Biodiversity Fund awards grants through its Advancing Circular Economy (ACE) Facility for projects that apply practical circular economy principles to prevent solid waste from entering the marine environment or removing marine litter.

Internal Navigation

- ✓ [Project Eligibility](#)
- ✓ [Project Design Preferences](#)
- ✓ [Focus of Projects To Be Supported](#)
- ✓ [Grant Sizes](#)
- ✓ [Co-financing](#)
- ✓ [Project Duration](#)
- ✓ [Additional Considerations](#)



Project Eligibility

To qualify for funding, all projects must incorporate the following elements into their design:

Submit Your Application!

First Name *

Last Name *

Email Address *

Organization *

Required Documents

Concept Note (max. file size 4mb | PDF or DOC) *

No file chosen

Declaration of the Applicant (max. file size 4mb | PDF or DOC) *

No file chosen

Business Registration (max. file size 4mb | PDF or JPG) *

No file chosen

Financial Audits (max. file size 4mb | PDF or DOC) *

No file chosen

Other Documents (Optional)

Concept Note Appendices (max. file size 4mb | PDF or DOC)

No file chosen

[SUBMIT APPLICATION](#)

7. The CBF Grant Application Experience



- **Experiences of the of the Climate Change – Ecosystem-based Adaptation Facility**

**Ms. Rosa Lemales, CBF EbA
Facility Program Officer &
Mr. Pilar Ramirez
Executive Director
Fundación REDDOM**



Climate Change Program

Ecosystem-based Adaptation (EbA) Facility

Rosa Lamelas

Program Officer, EbA Facility

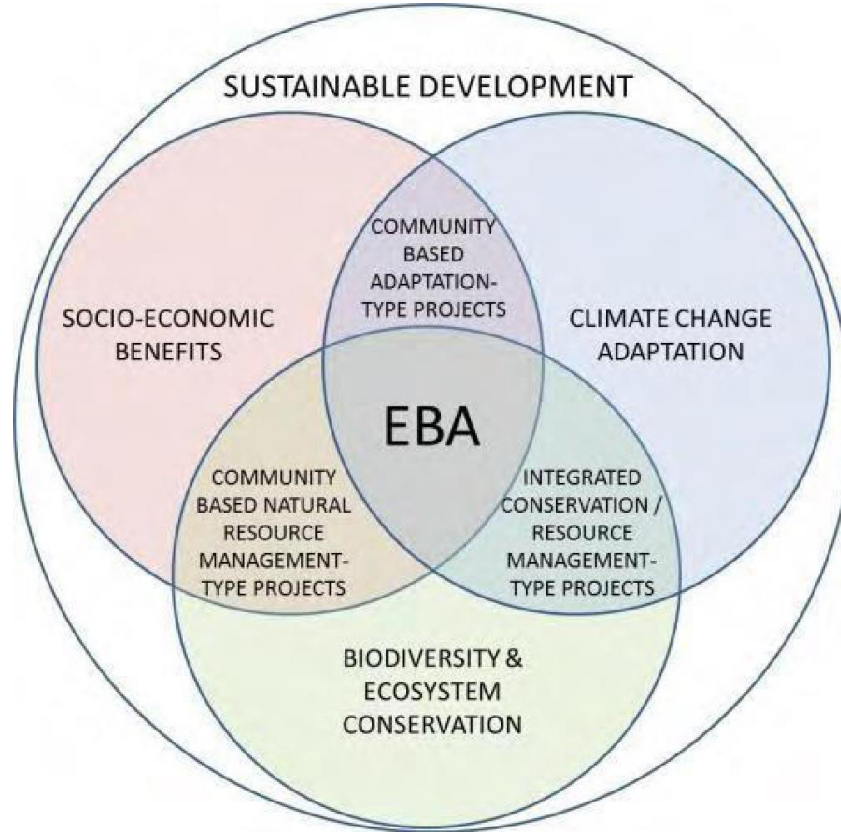
ACE Facility Call for Proposal Launch

Punta Cana, Dominican Republic

Date 12 August 2024

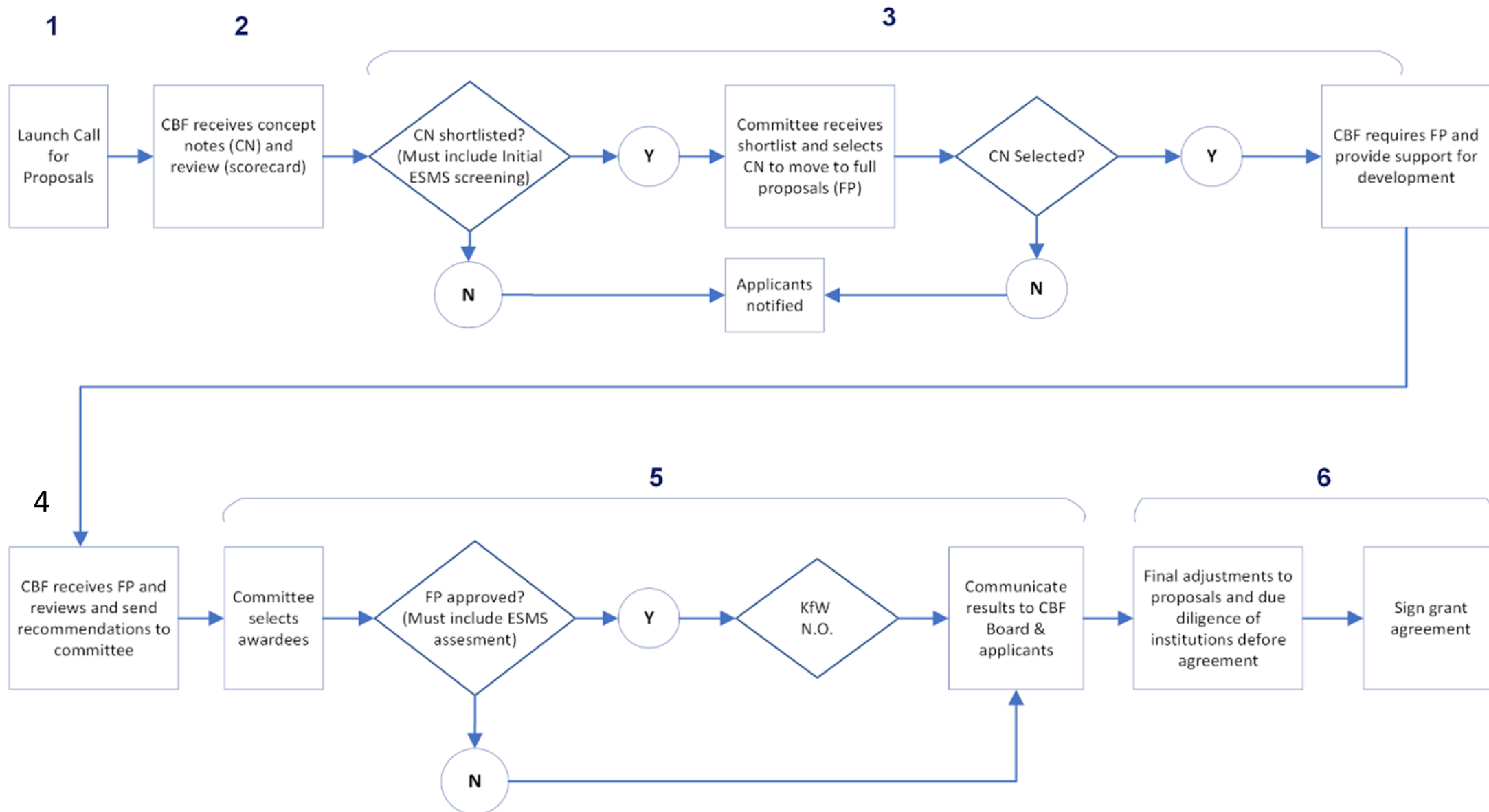


What is Ecosystem-based Adaptation (EbA)?



Ecosystem-based Adaptation (EbA)
is
“the use of biodiversity and ecosystem services as part of an overall adaptation strategy to help people to adapt to the adverse effects of climate change”
(CBD 2009)

EbA Facility Projects Selection Process



The DOs

LESSONS LEARNT



- ☐ Start preparing the Concept Note (CN) well before the submission deadline for an opportunity to:
 - ✓ Consult partners and stakeholders
 - ✓ Read and cite baseline studies and relevant reports
 - ✓ Proof-read CN and cross-check budget
 - ✓ Submit with buffer time before the deadline
 - ☐ Read and follow the instructions
 - ✓ Over 50% of CN submissions failed the compliance check!
 - ✓ Ensure all obligatory appendices are uploaded
 - ✓ Most questions received are answered in the call documentation
 - ☐ Pay attention to the evaluation criteria
-
-

The DOs

LESSONS LEARNT



- ☐ Consortia partners (optional)
 - ✓ Contribute complementary expertise
 - ✓ Should be thoughtfully selected
 - ✓ Should be involved from CN development
 - ✓ Will have to sign declaration at the Full Proposal stage
- ☐ Use the resources provided

The image shows a side-by-side comparison of two web pages from the Caribbean Biodiversity Fund. The left page is titled 'Frequently Asked Questions' and lists five questions with plus icons, such as 'Can we submit a concept note without the financial audit...'. The right page is titled 'Resources' and lists various documents and events, such as 'Event: Prospective applicants are encouraged to register for the EbA Facility 4th Call for Proposals Webinar...'. Both pages have a navigation bar with links like 'ABOUT US', 'WHAT WE DO', 'GET INVOLVED', 'EXPLORE', and 'CONNECT'. The browser address bar shows the URL 'caribbeanbiodiversityfund.org/call-for-proposal/ecosystem-based-adaptation-eba-facility/' on the left and 'diversityfund.org/call-for-proposal/ecosystem-based-adaptation-eba-fa...' on the right.

caribbeanbiodiversityfund.org/call-for-proposal/ecosystem-based-adaptation-eba-facility/

diversityfund.org/call-for-proposal/ecosystem-based-adaptation-eba-fa...

Frequently Asked Questions

- + Can we submit a concept note without the financial audit or will we be disqualified for not meeting that requirement, even though we have a promise of audit?
- + Can we delete the whole tabular format of the concept note template provided. I have seen a previous submission that made it through the first round, and it was without the tabular format?
- + Can we submit potential project sites and then select the specific site during project implementation?
- + Would the EbA Facility accept a proposal for a regional project that would serve the coasts of the Central American Caribbean?
- + If we cover a number of geographies within the country and address several EbAs at the seascape scale, would it be possible to apply for a larger grant?

SEE MORE FAQs →

Resources

- + Event: Prospective applicants are encouraged to register for the EbA Facility 4th Call for Proposals Webinar—EbA Concept Note Preparation on Wednesday 30 November 2022.
- EbA Logical Framework
- CBF Environmental and Social Policy and Exclusion List
- E-Learning Course – Ecosystem-based Adaptation – Working with nature to adapt to a changing climate
- Friends of Ecosystem-based Adaptation (FEBA) – EbA Criteria
- International Union for Conservation of Nature (IUCN) – Making Ecosystem-based Adaptation Effective
- United Nations Environment Program – Ecosystem-based Adaptation
- GIZ, IUCN, and IISD–produced 25min EbA e-learning video
- Project flyers for EbA Facility grantees from the 1st Call for Proposals

The DON'Ts

LESSONS LEARNT



Photo: ©WCS /Aliesky Del Rio Leal

Please do not ...

- ☐ Submit recycled unsuccessful proposals
- ☐ Submit a full proposal or CNs of more than 7 pages
- ☐ Modify the templates we provided
 - ✓ Font size
 - ✓ Margins
- ☐ Submit incomplete audits
 - ✓ In draft form
 - ✓ Missing signature or stamp
 - ✓ Prepared by in-house accountant
- ☐ Call CBF staff with CfP-related questions



How to Apply
A four-step application process with the following:

STEP 1: The submission of Concept Notes

Applicant organizations must complete the Concept Note Template (English, French, Spanish). It is recommended that the Concept Note Template be followed and adapted to the following:

- Concept Note Template (English, French, Spanish)
- Concept Note Template (English, French, Spanish)
- Concept Note Template (English, French, Spanish)

Applicants must ensure that the Concept Note does not exceed an 11 pages. No word limit is specified but Times New Roman font size 12 must be used throughout the submission and document margins must be maintained.

Applications must be submitted until Tuesday 24th January 2023, at 15:00 UTC-5.

Concept Notes received after this time will be rejected. Applicants will receive an acknowledgment receipt of their Concept Note on the date of submission.

Check off the items in the list for the documents available here:

- ☐ Concept Note Template (English, French, Spanish)
- ☐ Concept Note Template (English, French, Spanish)
- ☐ Concept Note Template (English, French, Spanish)
- ☐ Concept Note Template (English, French, Spanish)

First Name: Last Name:

Email Address:

Organization:

Required Documents

Concept Note Template (English, French, Spanish) (PDF or DOCX): No file chosen

Declaration of the Applicant (English, French, Spanish) (PDF or DOCX): No file chosen

Business Registration (English, French, Spanish) (PDF or DOCX): No file chosen

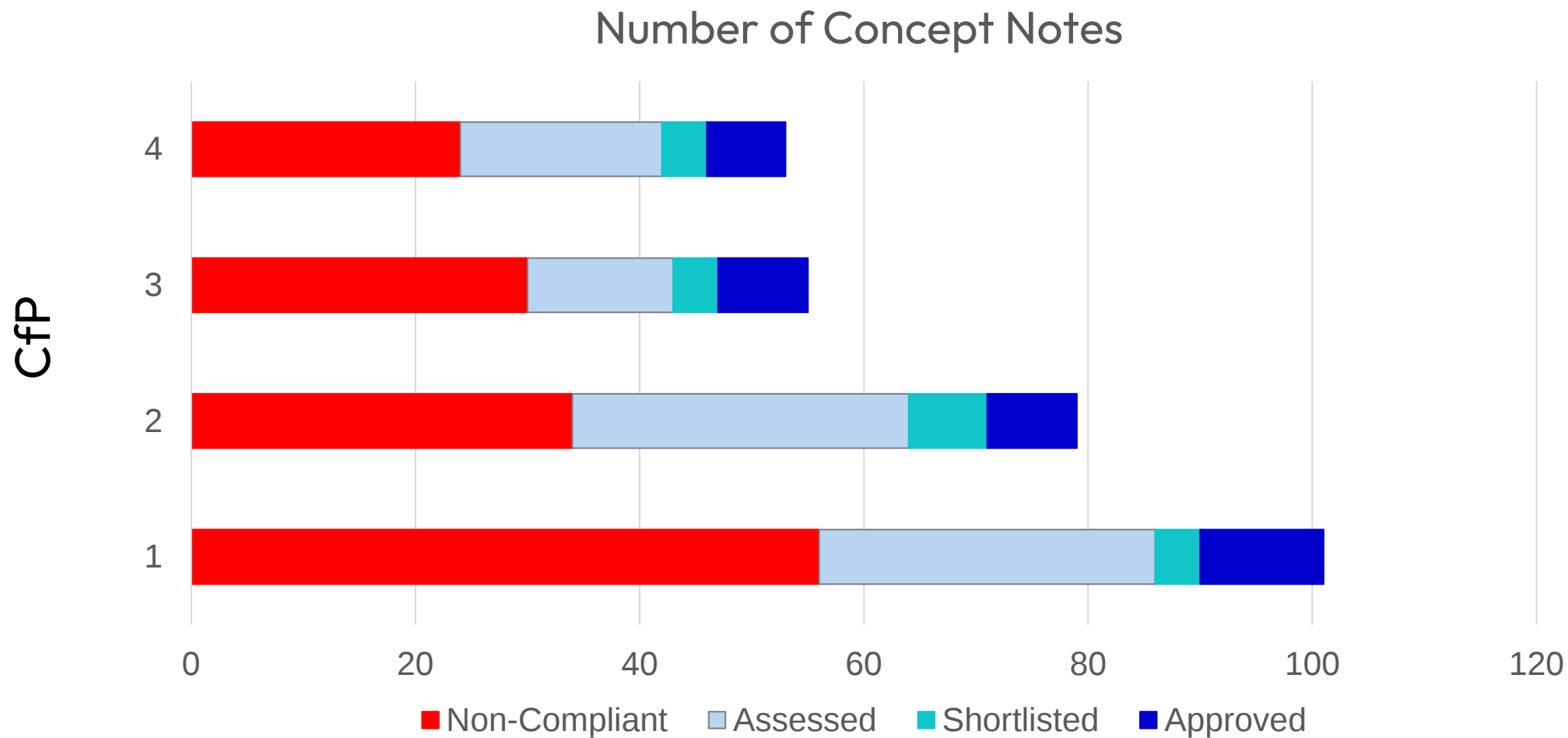
Financial Audit (English, French, Spanish) (PDF or DOCX): No file chosen

Other Documents

Concept Note Template (English, French, Spanish) (PDF or DOCX): No file chosen

STEP 2: Applicants with selected Concept Notes will be invited to submit Full Proposals

Past Success Rates



Launch of EbA 5th CfP

- ☐ Different and simultaneous with the ACE facility
- ☐ Aiming for early Oct (RedLAC)
- ☐ Accompanied by webinar
- ☐ Max. duration: 48 months
- ☐ Max. request: 3million
- ☐ Min. request: 400,000



Pilar Ramírez
Executive Director
REDDOM
ACE Facility Call for Proposal Launch
Punta Cana, Dominican Republic
Date 12 August 2024



Introduction and Background

- FUNDACION REDDOM RURAL ECONOMIC DEVELOPMENT DOMINICANA (REDDOM) was formally established on April 29, 2010, as a non-profit foundation with its own Board of Directors and Statutes.
- The Foundation was incorporated under the laws of the Dominican Republic on July 13, 2010.



Summary of EbA projects implemented by REDDOM from 2020 to 2024

EbA Number	Project title	EbA Budget	Period	Duration
EbA 1_077 REDDOM	Ecosystem based risk reduction in coastal areas of Samaná and La Altagracia provinces of DR	1,888,066	2020 – 2023	42 months
EbA 2_005 REDDOM	Locally-Led Ecosystem-based Adaptation in hurricane prone eco-tourism areas within Miches, El Seibo Province, DR	998,701	2021-2024	36 months
EbA4_004 REDDOM	Community-based adaptation in coastal areas of Hato Mayor province, DR	2,106,570	2024-2026	30 months
EbA4_056 REDDOM	Scaling up alternative and sustainable livelihood initiatives in El Limón, Samaná province, DR	1,047,629	2024-2026	24 months



CALL FOR PROPOSAL

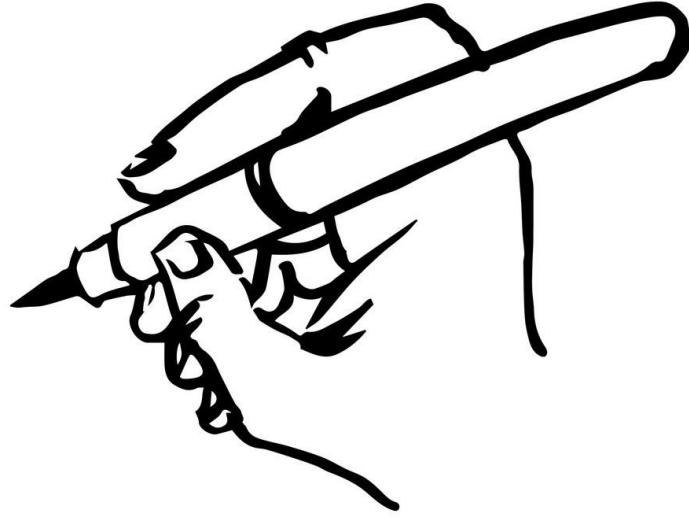
Ecosystem-based Adaptation (EbA) Facility's First Call for Proposals

[← GO BACK](#)

Opening date: October 31, 2018.

Closing date: December 15, 2018 – 11:59 pm

How to Write concept note

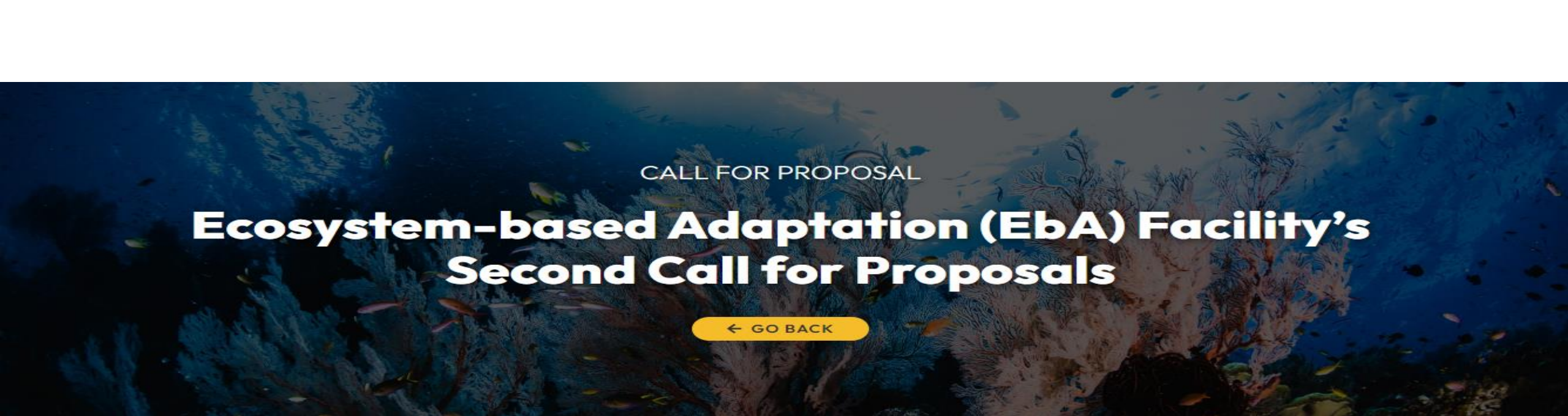


CONCEPT NOTE

Ecosystem based risk reduction in coastal areas of Samaná and La Altagracia provinces of DR





An underwater scene featuring a vibrant coral reef with various types of coral and numerous small fish swimming around. The lighting is dim, creating a deep blue environment.

CALL FOR PROPOSAL

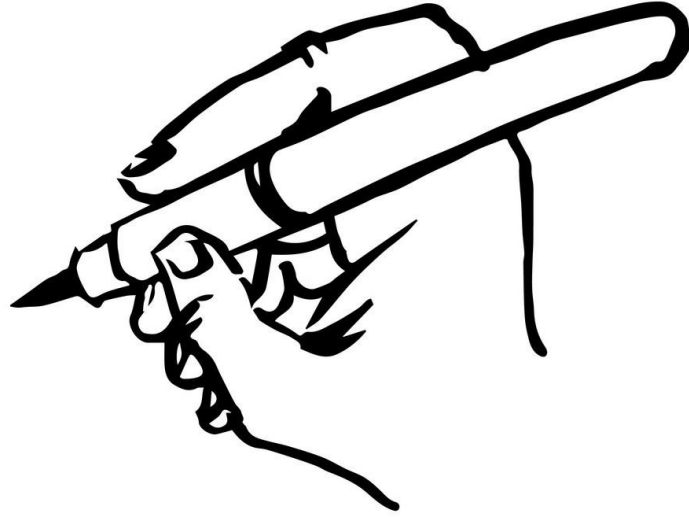
Ecosystem-based Adaptation (EbA) Facility's Second Call for Proposals

[← GO BACK](#)

Opening date: February 26, 2020.

Closing date: May 31, 2020 - 11:59 pm

How to Write concept note



CONCEPT NOTE TEMPLATE

Locally-led Ecosystem-based Adaptation in hurricane prone eco-tourism areas within Miches, El Seibo Province, Dominican Republic.



CALL FOR PROPOSAL

Ecosystem-based Adaptation (EbA) Facility's Third Call for Proposals

[← GO BACK](#)

Opening date: August 31, 2021

Closing date: October 31, 2021 – 11:59 pm



CALL FOR PROPOSAL

Ecosystem-based Adaptation (EbA) Facility's Fourth Call for Proposals

[← GO BACK](#)

Opening date: November 17, 2022

Closing date: January 24, 2023 – 11:59 pm



Ministerio Federal
de Medio Ambiente, Protección de la Naturaleza
y Seguridad Nuclear



Invertirán recursos de Caribbean Biodiversity Fund en ecosistemas de Sabana de la Mar

fundacionreddom.org

DESLIZA



Field consultation in Saltos de la Jalda, Sabana de la Mar. Source: Fundacion REDDOM



Work Plan development
activities in Sabana de la Mar.
Source: Fundacion REDDOM



EbA4_056 peer-to-peer experience in alternative sustainable livelihoods –beekeeping- in El Limon. Source: REDDOM.



EbA4_056 peer-to-peer experience in alternative sustainable livelihoods –agroforestry diversification- in El Limon. Source: REDDOM.



Questions?



Supported by:



Federal Ministry
for the Environment, Nature Conservation,
Nuclear Safety and Consumer Protection



based on a decision of
the German Bundestag



7. The CBF Grant Application Experience



- **Question and Answers Session**

Facilitated Mrs. Karen McDonald Gayle – CBF, Chief Executive Officer & the CBF ACE Facility Team





ACE Call for Proposals

Concept Note

+ What is the objective of the ACE Facility's first call for proposals?

+ What types of projects will the ACE Facility support?

+ Who is eligible to apply for the grant funding?

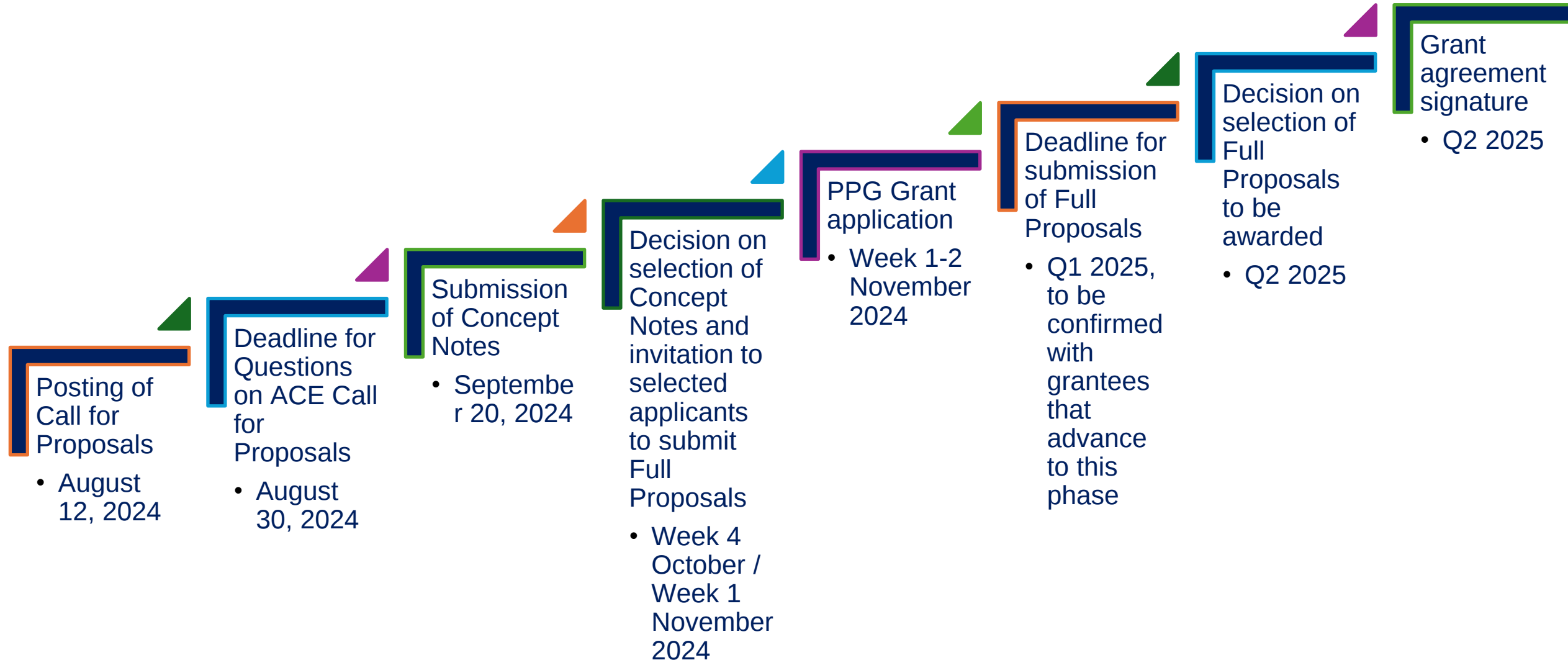
8. Question and Answers Session



- **Next Steps and Closing**

Ms. Rachel Ramsey, CBF
(NBE-ACE Facility)
Program Manager



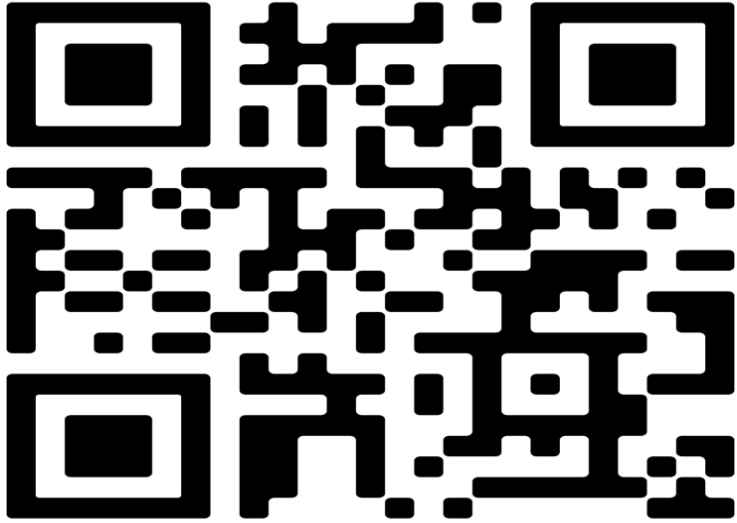


Queries

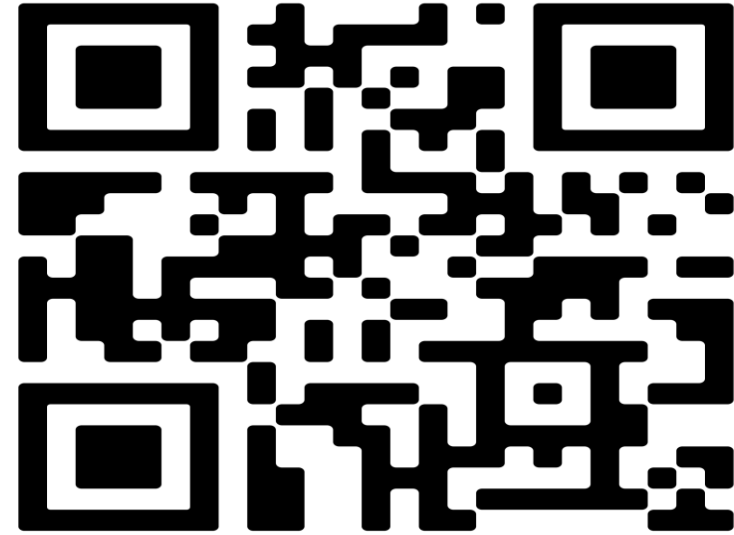
- Please direct your queries to acefacility@caribbeanbiodiversityfund.org. The Subject of the email should read **ACEFacilityCFP1: RequestforClarification(org name here)**
- The deadline for written queries is **August 30, 2024**.
- A Clarification Webinar will be held **August 28, 2024**.

Applications

- The deadline for the submission of Concept Notes is **September 20, 2024**
- Notifications will be sent to all applicants.
- Concept Notes that are favorably reviewed will advance for submission of a full proposal in accordance with the details outlined in the Full Proposal Phase Guidelines and a Webinar will be convened with advanced applications.
 - Proposal Development Phase (PPG) considerations
 - Additional Technical Support Considerations



The Clarification
Session



The ACE Facility
CfP1 Page



THANK YOU!

Contact us at: acefacility@caribbeanbiodiversityfund.org

